

\tilde{L}^1 a quasi-linear LLL algorithm

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University of Waterloo

joint with

Damien Stehlé and Gilles Villard

FLINT Sage Days (35), December 18, 2011

Goals for this talk

- My goal: Be useful to the audience
- Two potential types:
 - competent but not LLL experts
 - LLL users, maybe experts
- A gift for non-experts: an LLL for your toolbox (over ambitious?)
- Reward for the others: the novel concepts in $\tilde{\mathcal{L}}^1$

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LLL: A wonderful problem solving tool

To use LLL you must know when it's possible to use LLL.

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What type of problem can LLL attack?

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Example Applications:

LLL: A wonderful problem solving tool

MY HOBBY:
EMBEDDING NP-COMPLETE PROBLEMS IN RESTAURANT ORDERS

CHOTCHKIES RESTAURANT

~ APPETIZERS ~

MIXED FRUIT	2.15
FRENCH FRIES	2.75
SIDE SALAD	3.35
HOT WINGS	3.55
MOZZARELLA STICKS	4.20
SAMPLER PLATE	5.80

~ SANDWICHES ~

BARBECUE	6.55
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LLL: A wonderful problem solving tool

What type of problem can LLL attack?

When you need to find an integer combination of {some stuff} which will satisfy some property.

Example Applications:

Subset-sum, Knapsack, variants, etc.

Find a combination of 2.15, 2.75, 3.35, 3.55, 4.20, 5.80 which adds to exactly 15.05. (1 Mixed fruit, 2 orders of hot wings, and a sampler plate)

LLL: A wonderful problem solving tool

What type of problem can LLL attack?

When you need to find an integer combination of {some stuff} which will satisfy some property.

Example Applications:

Minimal Polynomials

Given $\alpha \approx -.78447320 - 1.96117174 \cdot \sqrt{-1}$
find $\text{minpoly}(\alpha)$. $(x^3 + 2x - 7)$

LLL: A wonderful problem solving tool

What type of problem can LLL attack?

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Example Applications:

Algebraic number manipulation

Is there a combination of $\beta_1, \beta_2, \beta_3 \in \mathbb{Q}(\alpha)$ whose 23-adic image is $21 + 7 \cdot 23 + 11 \cdot 23^2 + \dots$?

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Example Applications:

Diophantine Approximation

Given $r_1, \dots, r_n \in \mathbb{R}$ find rationals which approximate them each with the same small denominator.

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Example Applications:

Euclidean Algorithm

Given a, b find $\gcd(a, b) = s \cdot a + t \cdot b$.

Obligatory lattice intro

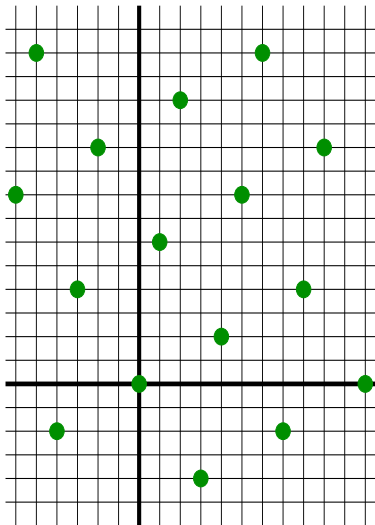
Lattice \equiv discrete subgroup of \mathbb{R}^n

$$\equiv \left\{ \sum_{i \leq n} x_i \mathbf{b}_i : x_i \in \mathbb{Z} \right\}$$

If the \mathbf{b}_i 's are linearly independent, they are called a **basis**.

Bases are not unique, but they can be obtained from each other by integer transforms of determinant ± 1 :

$$\begin{bmatrix} -2 & 1 \\ 10 & 6 \end{bmatrix} = \begin{bmatrix} 4 & -3 \\ 2 & 4 \end{bmatrix} \cdot \begin{bmatrix} 1 & 1 \\ 2 & 1 \end{bmatrix}.$$



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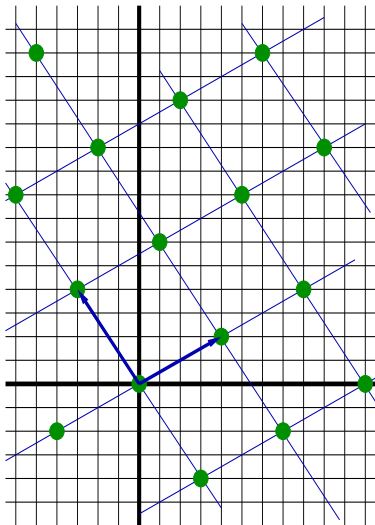
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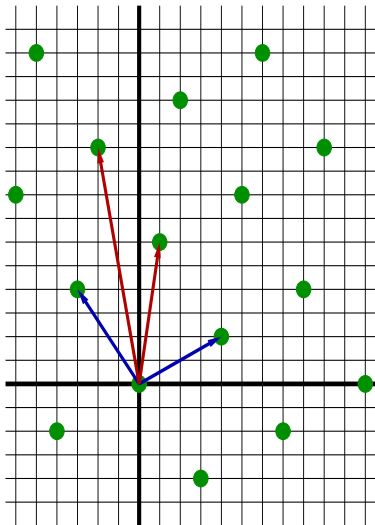
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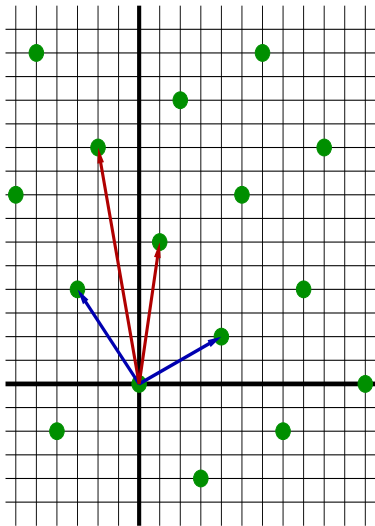
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What LLL actually does.

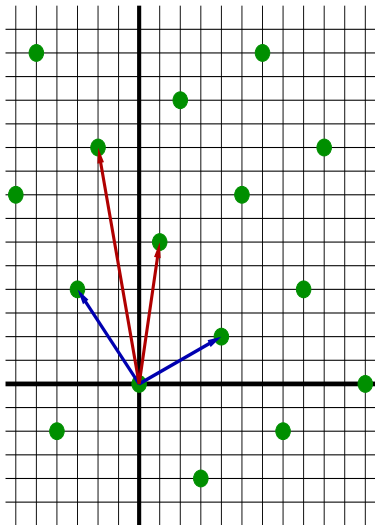
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The output is **a reduced basis**, which is somewhat orthogonal.

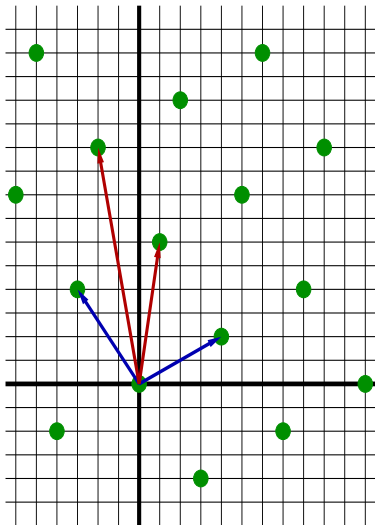


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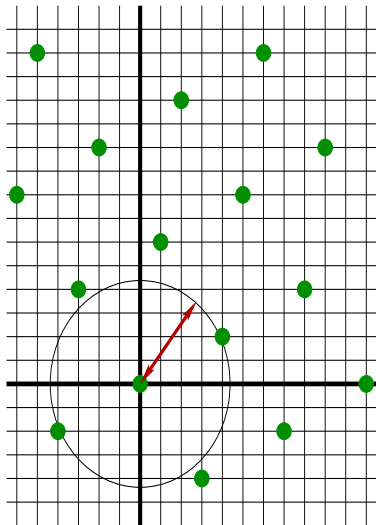
In 1982 Lenstra, Lenstra, Lovász gave a polynomial time reduction algorithm (LLL).



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One Popular Lattice Question:

Shortest non-zero vector (SVP)

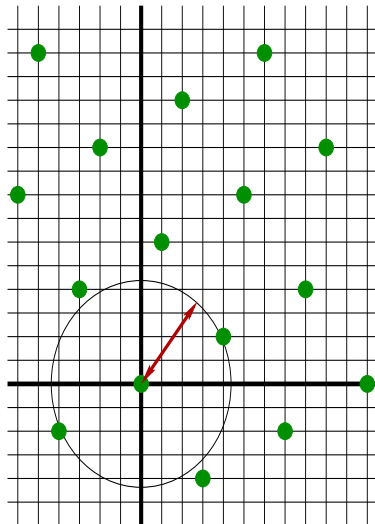


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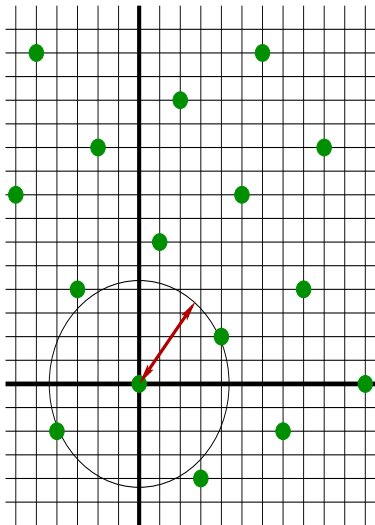
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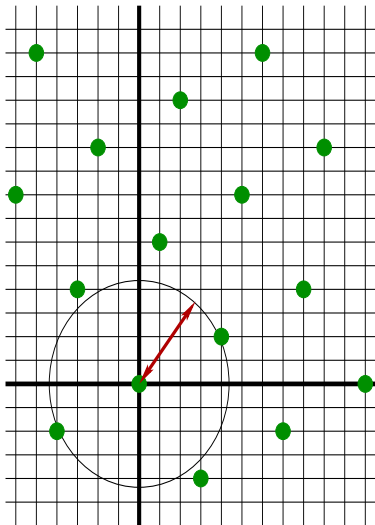
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When **lucky** and **creative**, approximate can be enough.



Examples of combination problems \rightarrow lattice problems

Given an approximation $\alpha \approx -.78447320 + 1.96117174 \cdot \sqrt{-1}$.
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Make a lattice using $\alpha^0, \alpha^1, \alpha^2, \alpha^3$:

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 10000000000 & 0 \\ 0 & 1 & 0 & 0 & -7844732000 & -19611717400 \\ 0 & 0 & 1 & 0 & -32307963923 & 30769733412 \\ 0 & 0 & 0 & 1 & 85689463459 & 39223434588 \end{pmatrix}^T$$

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Let $\text{minpoly}(\alpha) =: c_0 + c_1x + c_2x^2 + c_3x^3$.

Then $(c_0, c_1, c_2, c_3, \epsilon, \epsilon) \in L$ and is smaller in size than the other vectors.

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Find a minimal polynomial for α .

The first 2 vectors found by LLL are:

$$\begin{pmatrix} -7 & 2 & 0 & 1 & -541 & -212 \\ 84502 & -313827 & -101869 & -77000 & -106913 & 266772 \end{pmatrix}^T$$

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We read this as saying that α is a root of $x^3 + 2x - 7$.

Another example of LLL solving a problem

For the knapsack menu problem we had to find a combination of 2.15, 2.75, 3.35, 3.55, 4.20, 5.80 which adds to exactly 15.05.

The lattice I created for this one:

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & -1505 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 215 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 275 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 335 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 355 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 420 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 580 \end{pmatrix}^T$$

Note that scaling up that last entry means that short vectors in the lattice will likely have 0 in the final column.

Another example of LLL solving a problem

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The output from LLL:

$$\begin{pmatrix} 0 & 1 & -2 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 2 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & -2 & -1 & 1 & 0 \\ 1 & -1 & 1 & 2 & 1 & 1 & 0 & 0 \\ 1 & -2 & 0 & 0 & 1 & 1 & 2 & 0 \\ 0 & 2 & 0 & -1 & -1 & 2 & -1 & 0 \\ 0 & 0 & -1 & 1 & 1 & -1 & 0 & -5 \end{pmatrix}^T$$

The second vector is the solution.

The 0s in the final entries mean that this is difficult for LLL.

Intuitively, how does it work?

The input is a basis $\mathbf{b}_1, \dots, \mathbf{b}_d$.

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A reduced basis is, by definition, one in which G-S length never drops too fast.

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Classical LLL works by making a succession of two elementary moves:

- **Size Reductions** Subtract integer multiples of early vectors from late vectors
- **Swaps** Switch the position of two basis vectors if a minimum amount of G-S length can be pushed.

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Cost \approx number of swaps \times cost of size-reduction.

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The moves of the algorithm combine to give a unimodular transformation.

A tight example of LLL

$$\begin{pmatrix} 10 & 0 & 0 & 0 \\ 10 & 20 & 0 & 0 \\ 10 & 20 & 5 & 0 \\ 10 & 20 & 5 & 1 \end{pmatrix} \begin{pmatrix} 10 & 0 & 0 & 0 \\ 0 & 20 & 0 & 0 \\ 10 & 20 & 5 & 0 \\ 10 & 20 & 5 & 1 \end{pmatrix} \begin{pmatrix} 10 & 0 & 0 & 0 \\ 0 & 20 & 0 & 0 \\ 0 & 0 & 5 & 0 \\ 10 & 20 & 5 & 1 \end{pmatrix}$$
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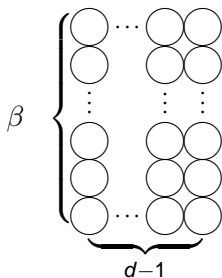
Bounding Switches/Swaps and a visualization of LLL

The height of each column is $\log(\|\mathbf{b}_i^*\|) \leq \beta$.

Every iteration/switch increases a G-S norm by a constant factor.

LLL[82] uses this to bound the number of swaps: $\mathcal{O}(d^2\beta)$.

0 switches



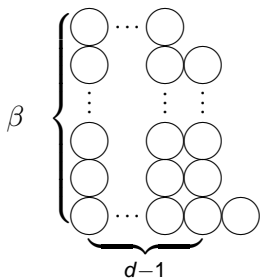
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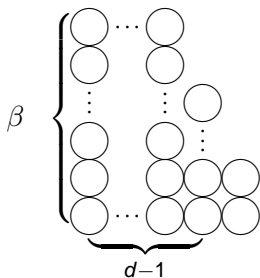
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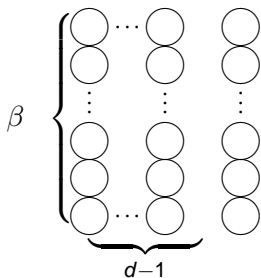
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β switches



$$= \beta + \dots$$

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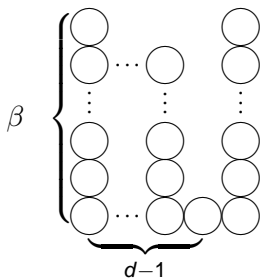
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$\beta + 1$ switches

$= \beta + \dots$

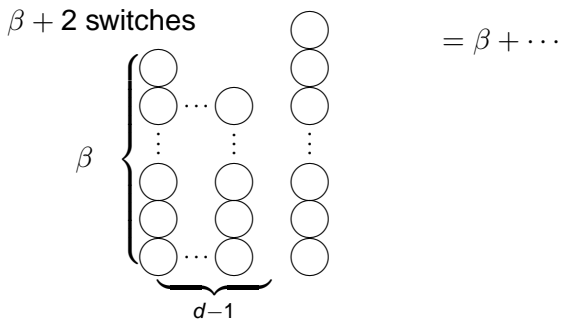


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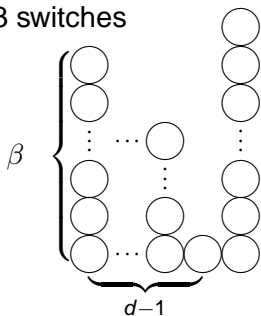
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$\beta + 3\beta$ switches



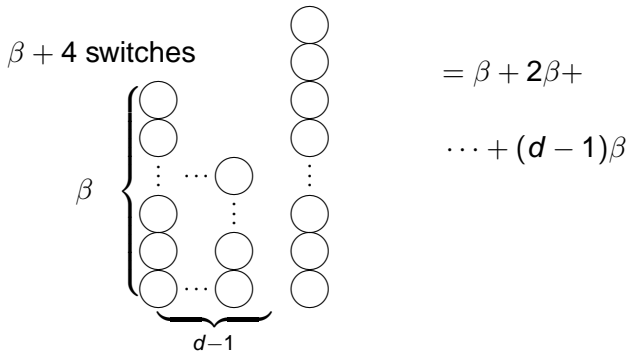
$$= \beta + 2\beta + \dots$$

Bounding Switches/Swaps and a visualization of LLL

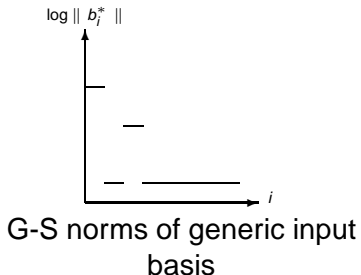
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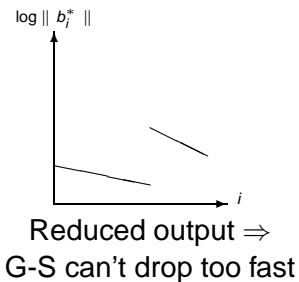
Visual presentation of classic LLL



This is a picture showing logs of G-S norms.

A reduced basis would have a **minimum possible slope** (e.g., -1).

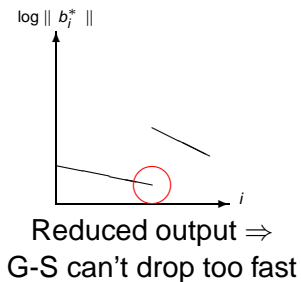
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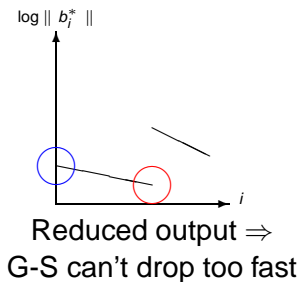
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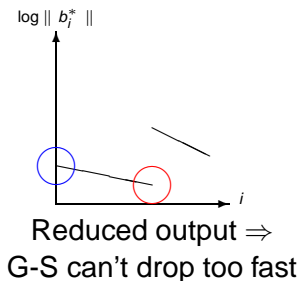
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This gives a short vector because:

Smallest G-S vector is smaller than every vector in L

Visual presentation of classic LLL



This gives a short vector because:

Smallest G-S vector is smaller than every vector in L

G-S vectors aren't generally in L but $b_1^* = b_1$ is in L

Complexity Bounds for reduction algorithms

Given any matrix $B \in \mathbb{Z}_{d \times d}$ with $\|B\|_{\infty} \leq 2^{\beta}$ whose columns give the lattice basis.

Find BU whose columns are a reduced basis of the same lattice.

- L^3 costs $\mathcal{P}oly(d) \cdot \beta^3$.
- L^2/H -LLL cost $\mathcal{P}oly(d) \cdot \beta^2$.
- \tilde{L}^1 moves this to $\mathcal{P}oly(d) \cdot \beta^{(1+\epsilon)}$

To the new stuff!

Welcome to the second chapter of the talk, the reward for experts.

A road-map of this section:

1. Present LLL as a sequence of lift-reductions:
from reduced to reduced
2. Introduce recent truncation-friendly version of reduction.
3. Show the new beautiful tools we made for lift-reduction.
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Find reduced, deform, reduce again

Old thinking:

1. Input matrix B , not reduced
2. Begin working on vectors of B
3. Until BU reduced

New thinking:

1. Begin with reduced B
2. Deform it: $\sigma_\ell B$
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Lift-Reduction

- We call multiplying an entry of each vector by a power of 2 **a lift**.

- As a matrix that is: $\sigma_\ell = \begin{bmatrix} 2^\ell & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{bmatrix}$

- We'll analyze the impact of this deformation on reduced bases.
- We call **Lift-Reduction** the act of reducing $\sigma_\ell B$ when B was already reduced.

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An example: Triangular

Not Reduced

$$\begin{bmatrix} 123456 & 60123 & -54127 & 23177 \\ 0 & 54321 & 21792 & -15211 \\ 0 & 0 & 321 & 123 \\ 0 & 0 & 0 & 51234 \end{bmatrix}$$

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So what?

Now each lift reduction can be attacked aggressively.

$$\begin{pmatrix} 0 & 0 & 0 & 200001 \\ 1 & 0 & 0 & 90102 \\ 0 & 1 & 0 & 90403 \\ 0 & 0 & 1 & 90904 \end{pmatrix}^T \quad (24 \text{ swaps})$$

$$\begin{pmatrix} 0 & 0 & 0 & 200 \\ 1 & 0 & 0 & 90 \\ 0 & 1 & 0 & 90 \\ 0 & 0 & 1 & 90 \end{pmatrix}^T \begin{pmatrix} -1 & 1 & 0 & 0 \\ -1 & 0 & 1 & 0 \\ 3 & 3 & 3 & 10 \\ -6 & -7 & -7 & 0 \end{pmatrix}^T \quad (7 \text{ swaps})$$

$$\begin{pmatrix} -1 & 1 & 0 & 301 \\ -1 & 0 & 1 & 802 \end{pmatrix}^T \begin{pmatrix} 5 & -8 & 3 & -2 \\ -8 & 13 & -5 & -97 \end{pmatrix}^T \quad (2 \text{ swaps})$$

(First block only)

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General reduction as a sequence of lift-reduction

Any non-singular B can be triangularized via HNF.

Any triangular B can be reduced with a series of lift-reductions.

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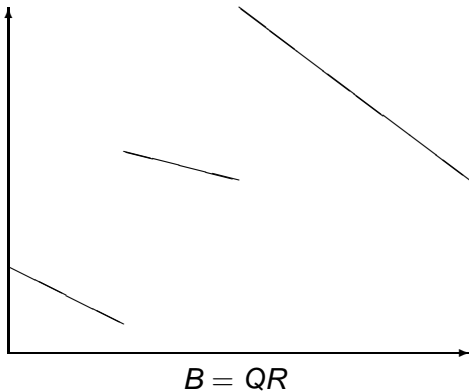
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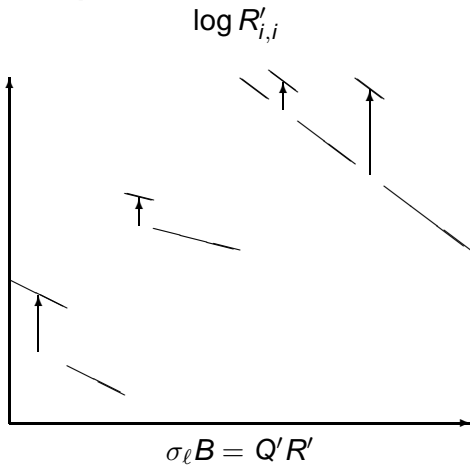
Graphical view of lift-reduction

$$\log R_{i,j} = \log \| b_j^* \|$$



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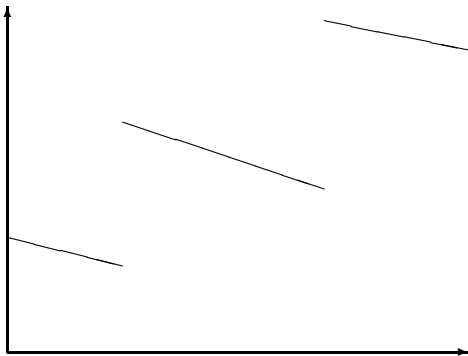
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$\log R''_{i,j}$



Truncations and a weakening of reduction

- We must work with truncated entries.
- Truncations hurt LLL-reduction (small roundings send a reduced basis to an unreduced basis).
- A new sense of reduction is truncation friendly but with all of the perks, thanks to [Chang, Stehlé, Villard]
- I'll denote a truncation of M by $M + \Delta M$
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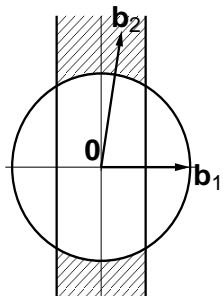
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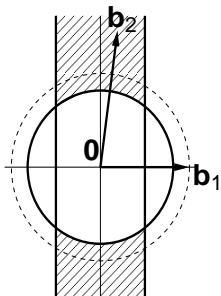
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The new reduction, graphically



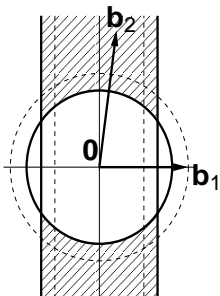
$(1, 1/2, 0)$

Hermite



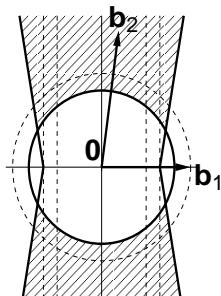
$(\delta, 1/2, 0)$

LLL'82



$(\delta, \eta, 0)$

Schnorr'88



(δ, η, θ)

[C-S-V'10]

Benefits of lift reduction

Now I'll show you the (super-cool) tools we introduce for analyzing lift-reductions.

Note that these tools are more general than \tilde{L}^1 .

So remember, use lift-reduction whenever you analyze LLL.

Overview of benefits of lift reduction

Whenever you can find a way to use 'lift reduction' you get all of these tools.

For B reduced, $\sigma_\ell := \text{diag}(2^\ell, 1, \dots, 1)$, and U any matrix such that

$\sigma_\ell B U$ is reduced.

- $|U_{i,j}| \leq 2^{\ell+c \cdot d} \frac{\|b_j^*\|}{\|b_i^*\|}$
- $\sigma_\ell(B + \Delta B)U$ is reduced.
- $\sigma_\ell B(U + \Delta U)$ is reduced.
- $U + \Delta U$ is unimodular if U was.
- U can be adjusted and stored on $\ell + c \cdot d$ -bits per entry
- $\text{cond}(\sigma_\ell B) \leq 2^{\ell+c} \text{cond}(B)$

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- $|U_{i,j}| \leq 2^{\ell+c \cdot d} \frac{\|b_j^*\|}{\|b_i^*\|}$
- $\sigma_\ell(B + \Delta B)U$ is reduced.
- $\sigma_\ell B(U + \Delta U)$ is reduced.
- $U + \Delta U$ is unimodular if U was.
- U can be adjusted and stored on $\ell + c \cdot d$ -bits per entry
- $\text{cond}(\sigma_\ell B) \leq 2^{\ell+c} \text{cond}(B)$

Overview of benefits of lift reduction

Whenever you can find a way to use 'lift reduction' you get all of these tools.

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Bounding lift-reduction U -transformations

For $\sigma_\ell BU$ with $B = QR$ we prove: $|U_{i,j}| \leq 2^{\ell+c \cdot d} \frac{R_{j,j}}{R_{i,i}}$

Blocks in B :



Block diagonal U :

$$U = \begin{bmatrix} U_1 & U_2 & U_3 \\ & U_4 & U_5 \\ & & U_6 \end{bmatrix}$$

U_1, U_4, U_6 small

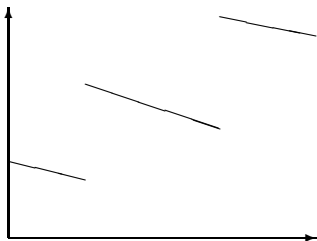
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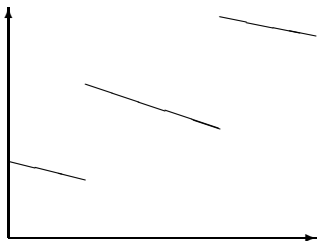
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Allows truncations of U

Let B and $\sigma_\ell BU$ be reduced.

For any ΔU with $\Delta U_{i,j}/U_{i,j} \leq \epsilon$ (entry-wise perturbations)

We show:

$\sigma_\ell B(U + \Delta U)$ is also reduced

and:

$(U + \Delta U)$ is unimodular

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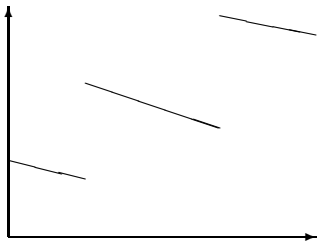
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Can create efficient U -transformations

$U + \Delta U$ will reduce so we can make an efficient U .

Visual blocks:



Block diagonal U :

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U_1, U_4, U_6 small

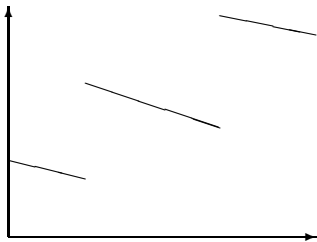
U_2, U_5 medium

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Can create efficient U -transformations

$U + \Delta U$ will reduce so we can make an efficient U .

Visual blocks:



$U + \Delta U$:

$$\begin{bmatrix} \hat{U}_1 & \hat{U}_2 \cdot 2^{k_2} & \hat{U}_3 \cdot 2^{k_3} \\ & \hat{U}_4 & \hat{U}_5 \cdot 2^{k_2} \\ & & \hat{U}_6 \end{bmatrix}$$

\hat{U}_i small

Allows adjustments of B

- By mastering U we can also master B .
- When B and $\sigma_\ell BU$ are reduced
- Then for ΔB with $\Delta B_j/B_j \leq \epsilon$ (column-wise perturbations)
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Numerical Stability

- In \mathbb{f}_{pLLL} the precision needed is related to the induced Condition number of B .
- For $B = QR$ let $\text{Cond}(B) := \| |R| \cdot |R^{-1}| \|$.
- The higher $\text{Cond}(B)$ the more precision \mathbb{f}_{pLLL} needs.
- A reduced B is well-conditioned ($\approx 2^{O(d)}$).
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$$\text{Cond}(\sigma_\ell B) = 2^{\ell+c \cdot d} \text{Cond}(B)$$

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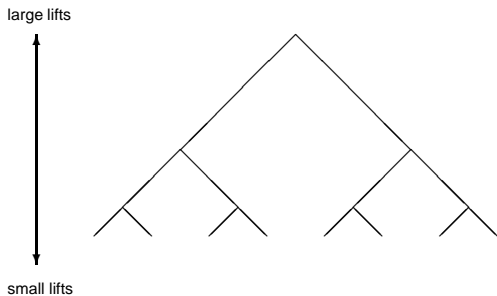
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Put the tools to use

Let's try lift-reducing using recursion.

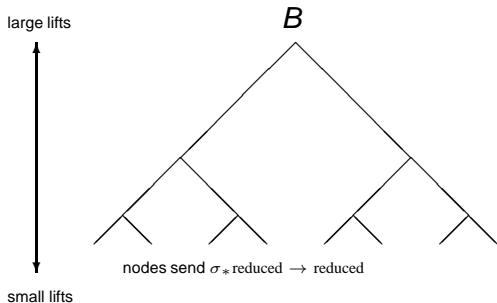


A recursive lifting tree

Put the tools to use

input: B reduced and lifting target ℓ

goal: U such that $\sigma_\ell BU$ is reduced

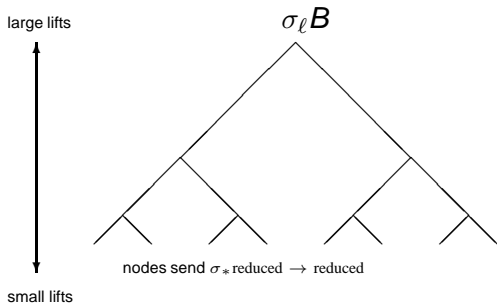


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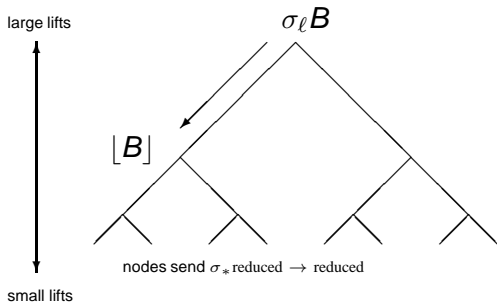


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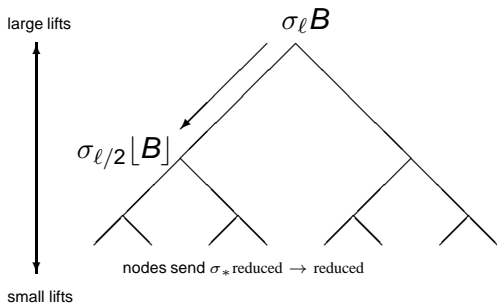


$$B \text{ reduced} \Rightarrow B + \Delta B \text{ reduced}$$

Put the tools to use

input: B reduced and lifting target ℓ

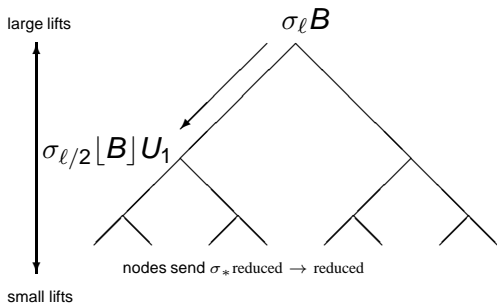
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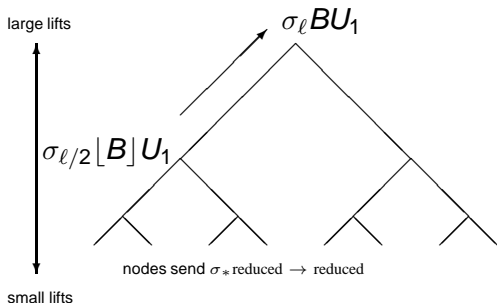


$B + \Delta B$ lift-reduced

Put the tools to use

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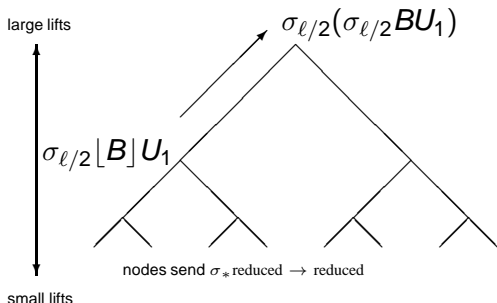


$$\sigma_{\ell/2}(B + \Delta B)U_1 \text{ red.} \Rightarrow \sigma_{\ell/2}BU_1 \text{ red.}$$

Put the tools to use

input: B reduced and lifting target ℓ

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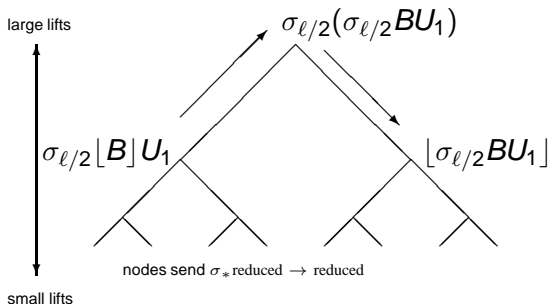


$$\sigma_\ell = \sigma_{\ell/2}^2, \text{ now a smaller lift}$$

Put the tools to use

input: B reduced and lifting target ℓ

goal: U such that $\sigma_\ell BU$ is reduced



and so on ...

Recursive Lift-reduction

Pseudo-Algorithm: Lift- \tilde{L}^1

Input: B reduced with $\|B_j\| \leq 2^\beta$ and target lift ℓ

Output: unimodular U with $\sigma_\ell BU$ reduced

1. **leaf:** if $\ell \leq d$ then reduce $\sigma_\ell B$; return U
2. Lift- \tilde{L}^1 on $(B + \Delta B)$, target $\ell/2$; get U_1
3. Compute $B_1 := \sigma_{\ell/2} B U_1$ weakly reduced
4. Lift- \tilde{L}^1 on $(B_1 + \Delta B_1)$, target $\ell/2$; return U_2
5. return $U_1 U_2$

Three problems:

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Three problems:

Problem 1: Are we reduced enough? (Truncations weaken)

Recursive Lift-reduction

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Three problems:

Problem 2: Reduce **leaf** paying ℓ not β

Recursive Lift-reduction

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Three problems:

Problem 3: Perform matrix multiplications paying ℓ not β

New complexities

In these times B is $d \times d$ and $\|B_j\| \leq 2^\beta$.

Lift-reduction: given B Ξ -reduced we find U such that $\sigma_\ell BU$ is Ξ -reduced in time

$$\mathcal{O}\left(d^{3+\epsilon}(d + \ell + \tau) + d^\omega \mathcal{M}(\ell) \log \ell + \ell \log(\beta + \ell)\right)$$

Full-reduction: given any B we find U such that BU is Ξ -reduced in time

$$\mathcal{O}(d^{5+\epsilon}\beta + d^{\omega+1+\epsilon}\beta^{1+\epsilon})$$

Knapsack-reduction: for a knapsack-type lattice B we use only time

$$\mathcal{O}(d^{5+\epsilon} + d^{4+\epsilon}\beta + d^\omega\beta^{1+\epsilon})$$

Future Directions

Internal to Lattice Reduction:

- Better preconditioning
- Dynamic switch decisions
- Numerically stable steps (maximize practical dimension)
- Parallelize (we all need to)

Future Directions

External to Lattice Reduction:

- Challenge Problems (Homomorphic Crypto Attacks)
- Adaptable to other NP approximations?
- Given a hammer. . .

Thank You

Thank you for your time!

Problem 1: Strengthen quality

- Morel, Stehlé, and Villard have worked on quickly improving the quality of a reduced basis.
- By recognizing blocks of vectors one can carefully truncate the input lattice.

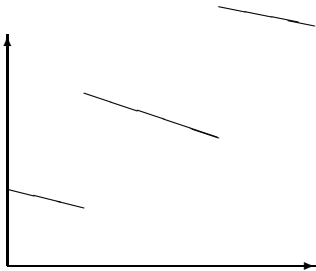
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- Results in calling fpLLL on a single lattice with $\beta = \mathcal{O}(d)$

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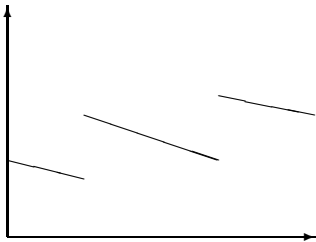
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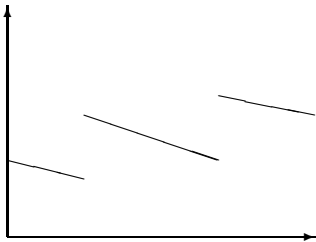
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- Results in calling f_{pLLL} on a single lattice with $\beta = \mathcal{O}(d)$

Problem 2: Leaf paying ℓ not β

- We have to reduce $\sigma_d B$ without a β in the complexity.
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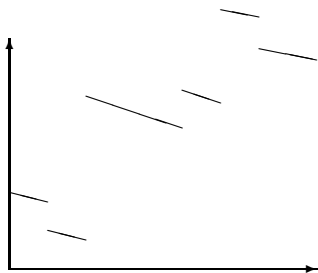
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Problem 2: Leaf paying ℓ not β

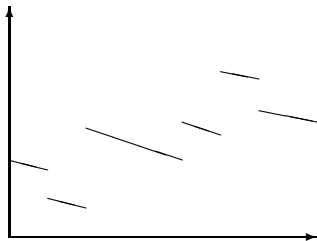
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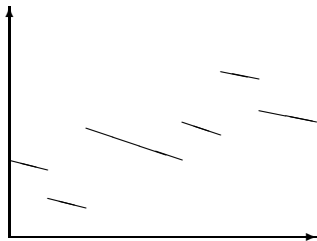
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Problem 3: Matrix products paying ℓ not β

- We have two types of products: $\sigma_\ell BU$ and $U_1 U_2$.
- These are performed in every layer of recursion even when ℓ is small.
- We know we can adjust B , so we begin with $B := \hat{B}E$ where \hat{B} has small entries and $E = \text{diag}(2^{e_1}, \dots, 2^{e_d})$
- Any U we find can also be adjusted, we choose to take $U = F\hat{U}F^{-1}$ format where $F = \text{diag}(2^{f_1}, \dots, 2^{f_d})$ and \hat{U} has small entries.
- Now these products can be multiplied quickly (standard matrix multiplication with small entries).
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