

**Linear algebra over integers and
polynomials**
Similarities and differences



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Example: Linear solving over K : $K = \mathbb{Z}/(7)$, $n = 5$

$$A = \begin{bmatrix} 5 & 1 & 0 & 4 & 5 \\ 5 & 2 & 3 & 1 & 0 \\ 4 & 6 & 0 & 4 & 0 \\ 5 & 2 & 0 & 2 & 4 \\ 5 & 1 & 1 & 0 & 2 \end{bmatrix} \quad b = \begin{bmatrix} 1 \\ 6 \\ 2 \\ 4 \\ 3 \end{bmatrix}$$

$$\det A = 3$$

$$A^{-1}b = \begin{bmatrix} 0 \\ 5 \\ 1 \\ 0 \\ 2 \end{bmatrix}$$

Classical result: Both $\det A$ and $A^{-1}b$ over K can be computed in $O(n^\theta)$ field operations, where θ is the exponent for matrix multiplication: $2 < \theta \leq 3$.

Note: no expression swell over $\mathbb{Z}/(7)$

Example input over $K[x]$: $n = 5, d = 2 \implies$ expression swell

$$A = \begin{bmatrix} 6x^2 + x + 5 & 6x + 1 & 2x^2 & x + 4 & 3x^2 + 6x + 5 \\ 3x + 5 & 2x^2 + x + 2 & 6x^2 + 2x + 3 & 3x^2 + 2x + 1 & x^2 + 2x \\ 5x^2 + x + 4 & 6x^2 + 3x + 6 & 5x^2 + 2x & 5x^2 + 6x + 4 & 3x^2 + x \\ 6x^2 + 6x + 5 & 2x^2 + 3x + 2 & 3x^2 + 6x & 3x^2 + 2x + 2 & 5x^2 + 5x + 4 \\ 3x^2 + 2x + 5 & 5x^2 + 3x + 1 & 4x + 1 & 5x^2 + 4x & 2x^2 + 3x + 2 \end{bmatrix} \quad b = \begin{bmatrix} x^2 + x + 1 \\ 2x^2 + 2x + 6 \\ x^2 + 3x + 2 \\ 2x^2 + x + 4 \\ x^2 + 4x + 3 \end{bmatrix}$$

$$\det A = 6x^{10} + 6x^9 + x^8 + 3x^6 + x^5 + x^4 + 4x^2 + 2x + 3$$

Degree is $n \times d$ where d is degrees in input matrix.

$$A^{-1}b = \begin{bmatrix} x^{10} + 2x^9 + 2x^8 + x^7 + 3x^6 + x^4 + 6x^3 + 3x \\ 5x^9 + x^8 + 6x^7 + x^5 + 4x^4 + 5x^3 + x^2 + 3x + 1 \\ 6x^{10} + x^9 + 3x^8 + 6x^7 + 3x^6 + 2x^5 + 2x^3 + 5x^2 + 3 \\ 5x^{10} + 3x^9 + 4x^8 + 3x^7 + 2x^6 + 3x^5 + 5x^4 + 6x \\ 3x^{10} + 3x^9 + 4x^7 + 5x^6 + 2x^5 + x + 6 \end{bmatrix} \quad (1/\det A)$$

Example input over \mathbb{Z} : $n = 5$, $d = 3 \implies$ expression swell

$$A = \begin{bmatrix} 594 & 24 & 601 & 604 & 827 \\ 476 & 397 & 49 & 378 & 174 \\ 7 & 361 & 173 & 939 & 392 \\ 844 & 186 & 655 & 896 & 453 \\ 76 & 621 & 38 & 603 & 582 \end{bmatrix} \quad b = \begin{bmatrix} 450 \\ 717 \\ 508 \\ 238 \\ 366 \end{bmatrix}$$

$$\det A = -26592243059232$$

Integer size is about $n \times d$ where d is integer size in input.

$$A^{-1}b = \begin{bmatrix} -58686180258858 \\ 70644871354626 \\ 143314986631278 \\ -49969380574326 \\ -42023211987798 \end{bmatrix} (1/\det A)$$

The Analogy between $K[x]$ and \mathbb{Z}

Polynomial Matrices

$$\begin{bmatrix} 2x^2 + 6x + 4 & 3x^2 + 5x + 3 & 5x^2 + 4x + 5 & 4x^2 + 6 \\ 2x^2 + x + 2 & 4x^2 + 2x + 6 & 4x^2 + 2x + 1 & x^2 + 1 \\ 5x^2 + 5 & 6x^2 & x^2 + 5x & 3x^2 + 2x + 5 \\ x^2 + 2x + 2 & x^2 + 6x + 1 & 2x^2 + 4x & 3x^2 + x + 3 \end{bmatrix}$$

$$\det = 4x^8 + x^7 + 5x^6 + 6x^5 + 4x^3 + 4x^2 + 4x + 5$$

Integer Matrices

$$\begin{bmatrix} 19664807 & 10690059 & 33070261 & 56138821 & 58713392 \\ 53823071 & 62221765 & 74114539 & 5607878 & 80029954 \\ 11950057 & 75484063 & 79482486 & 69593769 & 30570790 \\ 98824481 & 20449787 & 47014924 & 31388867 & 24938143 \\ 53520576 & 86305734 & 90761911 & 92669416 & 28505719 \end{bmatrix}$$

$$\det = 362395834598355450125706557125187378860$$

principal ideal domain
linear growth in degrees
count field operations

principal ideal domain
linear growth in word-lengths
count machine word operations

Problems: Linear solving, Determinant, Canonical forms

Algorithms: Fraction-free elimination, Modulo determinant canonical form

Analogous fast algorithms for polynomials and integers

[*Modern Computer Algebra*, von zur Gathen & Gerhard]

Polynomials

1. FFT based multiplication: $O(d(\log d)(\log \log d))$
2. Half-gcd algorithm: $O(d(\log d)^2(\log \log d))$
3. Rational function reconstruction
4. Evaluation and interpolation
5. Fast power series expansion

Integers

1. Schönhage Strassen FFT based multiplication
2. Fast continued fraction expansion
3. Rational number reconstruction
4. Homomorphic imaging and chinese remaindering
5. Fast radix conversion

Rational number/function reconstruction

$$\frac{10046631244}{15607862791} \equiv 27496514529040364884 \pmod{10^{21}}$$

$$\frac{5x^2 + 6x + 3}{x^2 + 4x + 3} \equiv 1 + 3x + 2x^2 + x^3 + 5x^4 \pmod{x^5}$$

Radix conversion and series expansion

$$\frac{10046631244}{15607862791} = 884 + 364(1000) + 40(1000^2) + 529(1000^3) + \dots$$

$$\frac{5x^2 + 6x + 3}{x^2 + 4x + 3} = (1 + 3x) + (2 + x)x^2 + (1 + 5x)x^4 + \dots$$

Matrix multiplication

Input: $n \times n$ matrices A and B filled with entries of size d

Output: $C := AB$

Cost: About $O(n^\omega d)$

Note: Entries in C will have size about $2d$

$$\begin{array}{c} A \\ \left[\begin{array}{cccc} * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ * & * & * & * \end{array} \right] \end{array} \begin{array}{c} B \\ \left[\begin{array}{cccc} * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ * & * & * & * \end{array} \right] \end{array} = \begin{array}{c} C \\ \left[\begin{array}{cccc} ** & ** & ** & ** \\ ** & ** & ** & ** \\ ** & ** & ** & ** \\ ** & ** & ** & ** \end{array} \right] \end{array}$$

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	<u>Over K</u>	<u>Over $K[x]$</u>
Input size	$O(n^2)$	$O(n^2 d)$
Output size	$O(n^2)$	$O(n^2 d)$
Cost	$O(n^\omega)$	$O(n^\omega d)$

Matrix multiplication

Input: $n \times n$ matrices A and B filled with entries of size d

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Cost: About $O(n^\omega d)$

Note: Entries in C will have size about $2d$

$$\begin{array}{c} A \\ \left[\begin{array}{cccc} * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ * & * & * & * \end{array} \right] \end{array} \begin{array}{c} B \\ \left[\begin{array}{cccc} * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ * & * & * & * \end{array} \right] \end{array} = \begin{array}{c} C \\ \left[\begin{array}{cccc} ** & ** & ** & ** \\ ** & ** & ** & ** \\ ** & ** & ** & ** \\ ** & ** & ** & ** \end{array} \right] \end{array}$$

Major effort in past decade

Reduce cost of linalg over $\mathbb{Z}/\mathbb{K}[x]$ to matrix multiplication

<u>Classical</u>		<u>Goal</u>
$O(n^{\omega+1}d)$	\longrightarrow	$O(n^\omega d)$

Main results from 2002–2005

2002	Storjohann	LinSys/Det	$\mathbb{K}[x]$	$O(n^\omega d)$
	\Rightarrow high-order lifting			
2003	Giorgi <i>et al</i>	PopovForm	$\mathbb{K}[x]$	$O(n^\omega d)$
	\Rightarrow optimal lattice basis reduction			
2004	Kaltofen & Villard	CharPoly	$\mathbb{K}[x] / \mathbb{Z}$	$O(n^{2.697263} d)$
	\Rightarrow baby steps giant steps block Krylov			
2005	Storjohann	LinSys/Det	\mathbb{Z}	$O(n^\omega d)$
	\Rightarrow extension of high-order lifting			

Difference between $\mathbb{K}[x]$ and \mathbb{Z} : Linearization

Multiplication:

$$(f_0 + f_1 x + f_2 x^2) (g_0 + g_1 x + g_2 x^2) \rightarrow \begin{bmatrix} f_0 & & & & \\ f_1 & f_0 & & & \\ f_2 & f_1 & f_0 & & \\ & f_2 & f_1 & & \\ & & f_2 & & \end{bmatrix} \begin{bmatrix} g_0 \\ g_1 \\ g_2 \\ 0 \\ 0 \end{bmatrix}$$

Gcd computation:

$$\gcd(f_0 + f_1 x + f_2 x^2, g_0 + g_1 x + g_2 x^2) \rightarrow \begin{bmatrix} f_2 & f_1 & f_0 & & \\ & f_2 & f_1 & f_0 & \\ g_2 & g_1 & g_0 & & \\ & g_2 & g_1 & g_0 & \end{bmatrix}$$

Difference between $K[x]$ and \mathbb{Z} : Non-Archimedean norm

Degree norm is non-Archimedean

$$\deg(f + g) \leq \max(\deg f, \deg g)$$

Magnitude norm is Archimedean

$$|5 + 7| \leq |5| + |7| = 13$$

Short products over $K[x]$ and \mathbb{Z}

Compute $a \times b \bmod x^2$

$$\begin{aligned} (5x^3 + 3x^2 + \underline{2x+1})(3x^3 + 2x^2 + \underline{6x+3}) &\equiv (2x+1)(6x+3) \\ &\equiv 5x^2 + 5x + 3 \\ &\equiv 5x + 3 \end{aligned}$$

Compute $a \times b \bmod 10^2$

$$\begin{aligned} (\overset{a}{207989}\underline{83})(\overset{b}{481302}\underline{93}) &\equiv (83)(93) \\ &\equiv 8277 \\ &\equiv 77 \end{aligned}$$

Short products over $K[x]$ and \mathbb{Z}

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[2000, Mulders, *On computing short products*]

[2003, Hanrot & Zimmerman, *A long note of Mulder's short product*]

Reverse short products over $\mathbb{K}[x]$ and \mathbb{Z}

Compute leading two coefficients of $a \times b$

$$(\underbrace{5x^3 + 3x^2}_a + 2x + 1)(\underbrace{3x^3 + 2x^2}_b + 6x + 3) = \underline{x^6 + 5x^5} + 5x^3 + \dots + 3$$

$$\Rightarrow (\underline{5x^3 + 3x^2})(\underline{3x^3 + 2x^2}) = \underline{x^6 + 5x^5} + 6x^4$$

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Compute leading two digits of $a \times b$

$$(\underbrace{64539839}_a)(\underbrace{46499832}_b) = \underline{3001091670807048}$$

Reverse short products over $K[x]$ and \mathbb{Z}

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$$(\underbrace{64539839}_a)(\underbrace{46499832}_b) = \underline{3001091670807048}$$

$$\Rightarrow (\underline{6453})(\underline{4649}) = \underline{29999997}$$

Reverse short products over $K[x]$ and \mathbb{Z}

Compute leading two coefficients of $a \times b$

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$$(\underbrace{64539839}_a)(\underbrace{46499832}_b) = \underline{3001091670807048}$$

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[1993, Krandick & Johnson, *Efficient multiprecision floating point multiplication with optimal directional rounding*]

Part II

High-order lifting and the sparse inverse formula

Alternative representations for the inverse of $A = \begin{bmatrix} 3 & 2 \\ x & 5 \end{bmatrix}$

$$\begin{aligned}
 A^{-1} &= \begin{bmatrix} \frac{2}{6+2x} & \frac{2}{6+2x} \\ \frac{x}{6+2x} & \frac{4}{6+2x} \end{bmatrix} \\
 &= \left[\begin{array}{c|c} 1+x+x^2+x^3+\dots & -1-x-x^2-x^3+\dots \\ \hline -x-x^2-x^3+\dots & 1+x+x^2+x^3+\dots \end{array} \right] \\
 &= \begin{bmatrix} 1 & -1 \\ 0 & 1 \end{bmatrix} + \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} x + \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} x^2 + \dots
 \end{aligned}$$

The sparse inverse formula for $A = [1 - cx]$

Explicit inverse modulo x^{32}

$$(1 - cx)^{-1} \bmod x^{32} \equiv 1 + cx + c^2x^2 + c^3x^3 + c^4x^4 + c^5x^5 + \cdots + c^{31}x^{31}$$

The sparse inverse formula for $A = [1 - cx]$

Explicit inverse modulo x^{32}

$$\begin{aligned}(1 - cx)^{-1} \bmod x^{32} &\equiv 1 + cx + c^2x^2 + c^3x^3 + c^4x^4 + c^5x^5 + \cdots + c^{31}x^{31} \\ &\equiv (1 + cx)(1 + c^2x^2)(1 + c^4x^4)(1 + c^8x^8)(1 + c^{16}x^{16})\end{aligned}$$

The sparse inverse formula for $A = [1 - cx]$

Explicit inverse modulo x^{32}

32 coefficients

$$\begin{aligned}
 (1 - cx)^{-1} \bmod x^{32} &= \overbrace{1 + cx + c^2x^2 + c^3x^3 + c^4x^4 + c^5x^5 + \dots + c^{31}x^{31}} \\
 &= \underbrace{(1 + cx)(1 + c^2x^2)(1 + c^4x^4)(1 + c^8x^8)(1 + c^{16}x^{16})}_{5 \text{ coefficients}}
 \end{aligned}$$

$$\underbrace{\left(1 + \overbrace{c}^{R_1}x\right)\left(1 + \overbrace{c^2}^{R_2}x^2\right)\left(1 + \overbrace{c^4}^{R_4}x^4\right)\left(1 + \overbrace{c^8}^{R_8}x^8\right)\left(1 + \overbrace{c^{16}}^{R_{16}}x^{16}\right)}_{(1 - cx)^{-1} \bmod x^8}$$

Classical Hensel/Newton iteration (Quadratic lifting)

Example: Compute inverse of $A = [1 - cx]$ over $\mathbb{K}[[x]]$

Initialize: $A^{-1} \bmod x^2 = 1 + cx$

Lift 1:

$$\begin{aligned} R_2x^2 &= I - A(1 + cx) \\ &= c^2x^2 \end{aligned}$$

$$\begin{aligned} A^{-1} \bmod x^4 &= (1 + cx)(1 + R_2x^2) \\ &= 1 + cx + c^2x^2 + c^3x^3 \end{aligned}$$

Lift 2:

$$\begin{aligned} R_4x^2 &= I - A(1 + cx + c^2x^2 + c^3x^3) \\ &= c^4x^4 \end{aligned}$$

$$\begin{aligned} A^{-1} \bmod x^8 &= (1 + cx + c^2x^2 + c^3x^3)(1 + R_4x^4) \\ &= 1 + cx + c^2x^2 + c^3x^3 + c^4x^5 + c^5x^5 + c^6x^6 + c^7x^7 \end{aligned}$$

Computing the residues for $A = 1 - cx$

$$\begin{aligned}R_2x^2 &= I - A(1 + cx) \\ &= c^2x^2\end{aligned}$$

$$\begin{aligned}R_4x^2 &= I - A(\overbrace{1 + cx + c^2x^2 + c^3x^3}^{A^{-1} \bmod x^4}) \\ &= c^4x^4\end{aligned}$$

$$\begin{aligned}R_8x^8 &= I - A(\overbrace{1 + cx + c^2x^2 + c^3x^3 + c^4x^4 + c^5x^5 + c^6x^6 + c^7x^7}^{A^{-1} \bmod x^8}) \\ &= c^8x^8\end{aligned}$$

Computing the residues for $A = 1 - cx$

$$\begin{aligned}R_2x^2 &= I - A(1 + cx) \\ &= c^2x^2\end{aligned}$$

$$\begin{aligned}R_4x^2 &= I - A \overbrace{(1 + cx + c^2x^2 + c^3x^3)}^{A^{-1} \bmod x^4} \\ &= c^4x^4\end{aligned}$$

$$\begin{aligned}R_8x^8 &= I - A \overbrace{(1 + cx + c^2x^2 + c^3x^3 + c^4x^4 + c^5x^5 + c^6x^6 + c^7x^7)}^{A^{-1} \bmod x^8} \\ &= c^8x^8\end{aligned}$$

- If $\det A = d$ then R_2, R_4, R_8, \dots have degree $\leq d - 1$.
- Can use reverse short product
 \Rightarrow need only top d coefficients of $A^{-1} \bmod x^*$

Computing the residue via reverse short product

- Let $A = 1 + 5x + 6x^2$
- Suppose we have

$$A^{-1} \bmod x^{64} = 1 + 2x + 5x^3 + \cdots + 2x^{61} + 5x^{62} + 5x^{63}$$

Exact formula for R_{64} :

$$\begin{aligned} R_{64}x^{64} &= (1 + 5x + 6x^2)(1 + 2x + 5x^3 + \cdots + 2x^{61} + 5x^{62} + 5x^{63}) - I \\ &= 6x^{64} + 2x^{65} \end{aligned}$$

Computing the residue via reverse short product

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$$\begin{aligned} R_{64}x^{64} &= \underline{(1 + 5x + 6x^2)}(1 + 2x + 5x^3 + \cdots + 2x^{61} + \underline{5x^{62} + 5x^{63}}) - I \\ &= \underline{6x^{64} + 2x^{65}} \end{aligned}$$

Reverse short product for R_{64} :

$$\underline{(1 + 5x + 6x^2)}(\underline{5x^{62} + 5x^{63}}) = 5x^{62} + 2x^{63} + \underline{6x^{64} + 2x^{65}}$$

High-order lifting example: $A = 1 + 5x + 6x^2$

$$\begin{aligned}
 A^{-1} \bmod x^{128} &= \overbrace{1 + \cdots + 2x^{61} + 5x^{62} + 5x^{63}}^L + \overbrace{x^{64} + \cdots + x^{125} + x^{126} + 2x^{127}}^{Hx^{64}} \\
 &= \overbrace{(1 + \cdots + 2x^{61} + 5x^{62} + 5x^{63})}^L (1 + \overbrace{(1 + 5x)x^{64}}^{R_{64}})
 \end{aligned}$$

High-order lifting example: $A = 1 + 5x + 6x^2$

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 &= \overbrace{(1 + \cdots + \underline{2x^{61} + 5x^{62} + 5x^{63}})}^L (1 + \overbrace{(1 + 5x)}^{R_{64}} x^{64})
 \end{aligned}$$

Via reverse short product

$$\underline{(2x^{61} + 5x^{62} + 6x^{63})} \overbrace{(1 + 5x)}^{R_{64}} x^{64} = 2x^{125} + \underline{x^{126} + 2x^{127}} + 4x^{128}$$

- top d coefficient of L suffice to get top $d - 1$ coefficients of H

Sparse inverse formula

Special case: $\deg A = 1$

$$A^{-1} \bmod x^{32} = (A^{-1} \bmod x^4)(I + R_4x^4)(I + R_8x^8)(I + R_{16}x^{16})$$

General case: $\deg A = 3$

$$A^{-1} \bmod x^8 = (A^{-1} \bmod x^4)(I + R_4x^4) - M_4x^8$$

$$A^{-1} \bmod x^{16} = (((A^{-1} \bmod x^4)(I + R_4x^4) - M_4x^8)(I + R_8x^8) - M_8x^{16})$$

$$A^{-1} \bmod x^{32} = (A^{-1} \bmod x^{16})(I + R_{16}x^{16}) - M_{16}x^{32}$$

Sparse inverse formula for integer matrices

Example for $7^{-1} \bmod x^{32}$, $x = 11$

$$7^{-1} \bmod x^{32}$$

$$= 904876146229395122376692251804252$$

$$= (((52(1 - 3x^2) + 2x^4)(1 - 5x^4) + 4x^8)(1 - 3x^8) + 2x^{16})(1 - 5x^{16}) + 4x^{32}$$

General case:

- Formula exists for any $A \in \mathbb{Z}^{n \times n}$ provided $x \perp \det A$.
- $A^{-1} \bmod x^n$ has size $O(n^3 d)$.
- Sparse inverse formula for $A^{-1} \bmod x^n$ has size $O(n^2(\log n)d)$.

Sparse inverse formula for integer matrices

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General case:

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- Sparse inverse formula for $A^{-1} \bmod x^n$ has size $O(n^2(\log n)d)$.

Q: How to compute reverse short products correctly over \mathbb{Z} ?

A: [2005, Storjohann, *The shifted number system...*]