

# Algebraic Extensions for Summation in Finite Terms

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# Summation in finite terms

- Given an *elementary function*  $f$ , find  $g$  such that

$$g(n) = \sum_{a \leq i < n} f(i)$$

$$\Delta g(n) = g(n+1) - g(n) = f(n)$$

$$\sum_{0 \leq i < n} f(i) = g(n) - g(1)$$



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## Definition

A difference field is a field  $F$  together with an automorphism  $\sigma$  of  $F$ . The constant field  $K \subset F$  is the fixed field of  $\sigma$ .

$$\Delta g = f$$

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## Karr's algorithm

Given an elementary summand  $f(i)$ , i.e., a sum  $\sum_{a \leq i < n} f(i)$ ,

- construct a field  $K$  containing all the constants appearing in  $f$ ,
- then the rational function field  $K(n)$  with the automorphism  $\sigma(n) = n + 1$ ,
- then build a tower  $K(n)(\theta_1, \dots, \theta_m)$  where the  $\theta_i$  are elementary functions needed to express  $f$ .
- Solve the first order linear difference equation

$$\sigma(g) - g = f$$

over  $K(n)(\theta_1, \dots, \theta_m)$ .





## Example

$$\sum_{1 \leq i < n} H_i^2 = \sum_{1 \leq i < n} \left( \sum_{1 \leq j < i+1} \frac{1}{j} \right)^2$$

- $H_n^2 \in QQ(n)(h)$  where  $\sigma(n) = n + 1$  and  $\sigma(h) = h + \frac{1}{n+1}$
- Solve  $\sigma(g) - g = h^2$  in  $\mathbb{Q}(n)(h)$  to get

$$g(n) = H_n^2 n - 2H_n n - H_n + 2n$$

•

$$\sum_{1 \leq i < n} H_i^2 = g(n) - g(1) = H_n^2 n - 2H_n n - H_n + 2n$$



## Building towers

At each step

- constants are not extended
- transcendental

## First order linear extensions

$\sigma(g) = \alpha g - \beta$ ,  $g$  transcendental

- inhomogeneous:  $\beta \neq 0$
- homogeneous:  $\beta = 0$ 
  - ▶ algebraic:  $\alpha$  is a  $\sigma$ -radical
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## Definition ( $\Pi$ -extensions)

We say that  $F(t), \sigma$  is a  $\Pi$ -extension of  $F, \sigma$  if and only if

- $\sigma(t) = \alpha t$  where  $\alpha \in F^*$ ,
- $t$  is transcendental over  $F$  and
- the constant field is not extended.

## Example

$$\begin{array}{l} Q(i, 2^i) \quad \sigma(2^i) = 2(2^i) \\ | \\ Q(i) \quad \sigma(i) = i + 1 \\ | \\ Q \end{array}$$



## Definition ( $\Sigma$ -extensions)

We say that  $F(t), \sigma$  is a  $\Sigma$ -extension of  $F, \sigma$  if and only if

- $\sigma(t) = \alpha t + \beta$  where  $\alpha \in F^*, \beta \in F$ ,
- the equation  $\sigma(w) - \alpha w = \beta$  has no solution in  $F$  and
- If there exists  $g \in F$  such that  $\sigma(g)/g = \alpha^n$  for some  $n \in \mathbb{N}^+$ , then there exists  $f \in F$  such that  $\sigma(f)/f = \alpha$ .

## Example

$$\begin{array}{c} Q(i, \sum_{1 \leq j < i} \frac{1}{j}) \\ | \\ Q(i) \\ | \\ Q \end{array} \quad \sigma(\sum_{1 \leq j < i} \frac{1}{j}) = \sum_{1 \leq j < i+1} \frac{1}{j} = (\sum_{1 \leq j < i} \frac{1}{j}) + \frac{1}{i}$$
$$\sigma(i) = i + 1$$

# Examples of algebraic extensions

## Example

- $\mathbb{Q}((-1)^n) \simeq \mathbb{Q}[x]/\langle x^2 - 1 \rangle$  since  $((-1)^n)^2 = 1$   
 $x^2 - 1 = (x - 1)(x + 1)$  over  $\mathbb{Q}[x]$ .





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- $\mathbb{Q}(4^n)(2^n) \simeq \mathbb{Q}(4^n)[x]/\langle x^2 - 4^n \rangle$   
 $x^2 - 4^n$  is irreducible over  $\mathbb{Q}(4^n)$ .



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- $\mathbb{Q}(8^n)(4^n) \simeq \mathbb{Q}(8^n)[x]/\langle x^3 - (8^n)^2 \rangle$   
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 $x^3 - (8^n)^2$  is irreducible over  $\mathbb{Q}(8^n)[x]$ .
- $\mathbb{Q}(4^n, 9^n)(6^n) \simeq \mathbb{Q}(4^n, 9^n)[x]/\langle x^2 - 4^n 9^n \rangle$   
 $x^2 - 4^n 9^n$  is irreducible over  $\mathbb{Q}(4^n, 9^n)$ .



# D-rings

## Definition

- $R$  - ring
- $\sigma$  - endomorphism of  $R$

A  $\sigma$ -derivation is a map  $\delta : R \rightarrow R$  satisfying

$$\delta(a + b) = \delta(a) + \delta(b) \quad \text{and} \quad \delta(ab) = \sigma(a)\delta(b) + \delta(a)b \quad (1)$$

for any  $a, b \in R$ . The triple  $(R, \sigma, \delta)$  is called a D-ring.

## Example (differential ring)

If  $\sigma = 1_R$ ,  $\delta$  is a derivation on  $R$ . In this case,  $(R, \delta)$  is called a differential ring.



# D-rings

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for any  $a, b \in R$ . The triple  $(R, \sigma, \delta)$  is called a D-ring.

## Example (difference ring)

For any endomorphism  $\sigma$  of  $R$ ,  $\delta = 0$  is a  $\sigma$ -derivation. In this case,  $(R, \sigma)$  is called a difference ring.



# D-rings

## Definition

- $R$  - ring
- $\sigma$  - endomorphism of  $R$

A  $\sigma$ -*derivation* is a map  $\delta : R \rightarrow R$  satisfying

$$\delta(a + b) = \delta(a) + \delta(b) \quad \text{and} \quad \delta(ab) = \sigma(a)\delta(b) + \delta(a)b \quad (1)$$

for any  $a, b \in R$ . The triple  $(R, \sigma, \delta)$  is called a D-ring.

## Example

If  $R$  is commutative,  $\sigma$  an endomorphism of  $R$ , and  $\alpha \in R$ , the map  $\delta_\alpha = \alpha(\sigma - 1_R)$  given by  $\delta(a) = \alpha(\sigma(a) - a)$  is a  $\sigma$ -derivation.



## Example

- $R = \mathbb{Q}(x)$ ,
- $\sigma : x \mapsto x + 1$
- $\delta : x \mapsto 1$

Then,

$$\delta(x^2) = \delta(xx) = \sigma(x)\delta(x) + \delta(x)x = 2\delta(x)x + \delta(x) = 2x + 1$$



# Extensions of D-rings

## Definition

- $(R, \sigma, \delta)$  and  $(R', \sigma', \delta')$  - D-rings

We say that  $(R', \sigma', \delta')$  is a D-ring extension of  $(R, \sigma, \delta)$  if  $R$  is a subring of  $R'$  and  $\sigma'(a) = \sigma(a)$  and  $\delta'(a) = \delta(a)$  for any  $a \in R$ .





## Definition

- For a D-ring  $R$  and  $a, b \in R$ , we introduce the notation

$$V_{a,b}(R) = \{w \in R \text{ such that } \sigma(w) = aw + b\}$$

to denote the solutions of the first order linear difference equation  
 $\sigma(w) = aw + b$

- We call an element  $a$  of a D-ring  $R$  a  $\sigma$ -radical over  $R$  if there exists  $z \in R^*$  such that  $\sigma(z) = a^n z$  for an integer  $n > 0$ .



## Lemma (Bronstein 2000, Lemma 13)

Let  $(k, \sigma, \delta)$  be a  $D$ -field with  $\sigma$  an automorphism of  $k$ ,  $K$  be a field and a  $D$ -field extension of  $k$ , and  $t \in K^*$  be algebraic over  $k$  such that  $\sigma(t) = at + b$  for  $a, b \in k$ . Then,  $V_{a,b}(k)$  is not empty. Furthermore, if  $b = 0$ , then either  $a = 0$  or  $a$  is a  $\sigma$ -radical over  $k$ .



## Theorem

Let

- $(R, \sigma, \delta)$  be a  $D$ -ring with  $\sigma$  an automorphism of  $R$ ,
- $S$  be a  $D$ -ring extension of  $R$ ,
- and  $t \in S^*$  be algebraic over  $R$  such that  $\sigma(t) = at + b$  for  $a \in R^*$  and  $b \in R$ .

If

- $V_{a,b}(R)$  has no nonzero elements and
- $\text{Const}_{\sigma,\delta}(R) = \text{Const}_{\sigma,\delta}(S)$ ,

then

- $b = 0$ ,
- $a$  is a  $\sigma$ -radical over  $R$  and
- the minimal polynomial  $p \in R[X]$  of  $t$  is of the form  $X^d - c$ .



## Proof

Following the proof of Theorem 2.3 in [Karr85], let  $p(X) = X^d + \sum_{i=0}^{d-1} p_i X^i$  be the minimal polynomial of  $t$  over  $R$  where  $d > 0$ . We have

$$0 = \sigma(p(t)) = (at + b)^d + \sum_{i=0}^{d-1} \sigma(p_i)(at + b)^i.$$

Replacing  $t^d$  with  $-\sum_{i=0}^{d-1} p_i t^i$  reveals

$$a^d \sum_{i=0}^{d-1} p_i t^i = \sum_{i=0}^{d-1} \binom{d}{i} (at)^i b^{d-i} + \sum_{i=0}^{d-1} \sigma(p_i)(at + b)^i \quad (2)$$

Comparing coefficients for  $t^{d-1}$ , we get the equality

$$a^d p_{d-1} = a^{d-1} b + \sigma(p_{d-1}) a^{d-1},$$

Then  $w = -p_{d-1}/d \in V_{a,b}(R)$ . Since  $V_{a,b}(R)$  has no nonzero elements, we conclude  $p_{d-1} = 0$ . Replacing  $p_{d-1}$  by 0 in the last equality, we see that  $b$  is also 0.

## Proof (continued).

Looking back at equation (2) and comparing coefficients for  $t^i$ , we get

$$\sigma(p_i) = a^{d-i} p_i, \quad \text{for } 0 \leq i < d - 1.$$

Since  $t$  is nonzero,  $p_j \neq 0$  for some  $j < d$ . For  $j > 0$ ,  $\sigma(t^{d-j}/p_{d-j}) = t^{d-j}/p_{d-j}$ , so  $t^{d-j}/p_{d-j}$  is a new constant in  $R$ . Hence, for  $0 < i < d$ ,  $p_i = 0$ . The equality  $\sigma(p_0) = a^d p_0$  shows that  $a$  is a  $\sigma$ -radical over  $k$ .  $\square$

## Remark

This theorem doesn't give any information about the purely differential case, since  $V_{1,0}(R) = R$  and the statement doesn't apply.



## Definition

- $(R, \sigma, \delta)$  - D-ring
- $M$  - left  $R$ -module

A map  $\theta : M \rightarrow M$  is called  $R$ -pseudo-linear (with respect to  $\sigma$  and  $\delta$ ) if

$$\theta(u + v) = \theta(u) + \theta(v) \quad \text{and} \quad \theta(au) = \sigma(a)\theta(u) + \delta(a)u$$

for any  $a \in R$  and  $u, v \in M$ .

We write  $\text{End}_{R, \sigma, \delta}(M)$  for the set of all  $R$ -pseudo-linear maps of  $M$ .



- $(F, \sigma, \delta)$  - D-field of characteristic 0
- $(E, \sigma, \delta)$  - algebraic extension of  $(F, \sigma, \delta)$
- $\theta : E \rightarrow E \in \text{End}_{F, \sigma, \delta}$  - an  $F$ -pseudo-linear map
- We are interested in solving

$$\theta(z) + fz = g$$

for  $z \in E$  where  $f, g \in E$  are given.

## Example

In order to find a *simpler* expression for  $\sum_{k=1}^n (-1)^k k$ , we need to solve the equation

$$\sigma(z) - z = (-1)^k k$$

in the difference field  $\mathbb{Q}(k)((-1)^k)$ , with  $\sigma(k) = k + 1$  and  $\sigma((-1)^k) = -(-1)^k$ .



- We can view  $E$  as a finite dimensional algebra over  $F$ .
- Let  $\mathbf{b} = (b_1, \dots, b_n)$  be any basis of  $E$  over  $F$ .
- Write  $u_{\mathbf{b}}$  for the column vector of the coordinates of  $u$  in the basis  $\mathbf{b}$ , i.e.,  $u_{\mathbf{b}} \in F^n$
- We want to solve

$$(\theta(z) + fz)_{\mathbf{b}} = g_{\mathbf{b}}.$$

## Example

Let  $\mathbf{b} = ((-1)^k, 1)$ . We have  $g = (-1)^k k$ , then

$$g_{\mathbf{b}} = \begin{pmatrix} k \\ 0 \end{pmatrix}.$$







- For an  $F$ -pseudo-linear map  $\theta$ , define the matrix  $\theta_{\mathbf{b}}$  as follows

$$\theta_{\mathbf{b}} = \begin{pmatrix} \begin{array}{c} | \\ (\theta(b_1))_{\mathbf{b}} \\ | \end{array} & \begin{array}{c} | \\ (\theta(b_2))_{\mathbf{b}} \\ | \end{array} & \cdots & \begin{array}{c} | \\ (\theta(b_n))_{\mathbf{b}} \\ | \end{array} \end{pmatrix}.$$

## Example

In our example,

$$\sigma_{\mathbf{b}} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}.$$



- Recall,

$$\theta(z) = \sum_{i=1}^n \sigma(z_i) \theta(b_i) + \sum_{i=1}^n \delta(z_i) b_i$$

- Then,

$$\begin{aligned} (\theta(z))_{\mathbf{b}} &= \theta_{\mathbf{b}}(\sigma l)(z)_{\mathbf{b}} + (\delta l)(z)_{\mathbf{b}} \\ &= (\theta_{\mathbf{b}}(\sigma l) + (\delta l))(z)_{\mathbf{b}} \end{aligned}$$



- For any  $u \in E$ , define  $M_{\mathbf{b}}(u)$  to be

$$M_{\mathbf{b}}(u) = \begin{pmatrix} | & | & \dots & | \\ (ub_1)_{\mathbf{b}} & (ub_2)_{\mathbf{b}} & \dots & (ub_n)_{\mathbf{b}} \\ | & | & \dots & | \end{pmatrix}.$$

- Then for any  $u, v \in E$

$$(uv)_{\mathbf{b}} = M_{\mathbf{b}}(u)(v)_{\mathbf{b}}.$$

## Example

We have

$$M_{\mathbf{b}}(-1) = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$$



- We have

$$\begin{aligned}g_{\mathbf{b}} &= (\theta(z) + fz)_{\mathbf{b}} \\ &= (\theta_{\mathbf{b}}(\sigma l) + (\delta l))(z)_{\mathbf{b}} + M_{\mathbf{b}}(f)(z)_{\mathbf{b}} \\ &= (\theta_{\mathbf{b}}(\sigma l) + (\delta l) + M_{\mathbf{b}}(f))(z)_{\mathbf{b}}\end{aligned}$$

### Example

In the differential case,  $\sigma = 1_F$ ,  $\theta = \delta$ , then we have

$$(\delta_{\mathbf{b}} + (\delta l) + M_{\mathbf{b}}(f))(z)_{\mathbf{b}} = g_{\mathbf{b}}.$$

### Example

In the difference case,  $\delta = 0_F$ ,  $\theta = \sigma$ , so we have

$$(\sigma_{\mathbf{b}}(\sigma l) + M_{\mathbf{b}}(f))(z)_{\mathbf{b}} = g_{\mathbf{b}}$$



# Demo

## Example

- Take  $R = \mathbb{Q}(n)(e)$  with  $\sigma(n) = n + 1$  and  $\sigma(e) = -e$ , where  $e$  behaves like  $(-1)^n$ .
- We want to extend  $R = \mathbb{Q}(n)(e)$  from the previous example with a new indeterminate  $s$  such that  $\sigma(s) = s - \frac{e}{n+1}$ ,  
i.e.,  $s$  behaves like  $\sum_{i=1}^n \frac{(-1)^i}{i}$ .



## Example

- $((-1)^n)^2 = 1$ , so  $e$  satisfies the polynomial  $x^2 - 1 \in \mathbb{Q}(n)[x]$ .
- $x^2 - 1$  factors over  $\mathbb{Q}(n)$

$$R \simeq \mathbb{Q}(n)[x]/\langle x^2 - 1 \rangle \simeq \mathbb{Q}(n)[x]/\langle x - 1 \rangle \oplus \mathbb{Q}(n)[x]/\langle x + 1 \rangle$$

- $e_0 = \frac{e+1}{2}$  and  $e_1 = \frac{-e+1}{2}$  are idempotent in  $R$ .
- Since  $1 = e_0 + e_1$  and  $e_0 e_1 = 0$ , we also have the decomposition  $R \simeq \mathbb{Q}(n)e_0 \oplus \mathbb{Q}(n)e_1$ .





## Theorem (Singer, van der Put, (1997))

Let  $(k, \sigma, \delta)$  be a  $D$ -field with  $\sigma$  an automorphism of  $k$ ,  $R$  be a finitely generated simple difference ring and a  $D$ -ring extension of  $k$ . There exist idempotents  $e_0, \dots, e_{d-1} \in R$  for  $d > 0$  such that

- 1  $R = R_0 \oplus \dots \oplus R_{d-1}$  where  $R_i = e_i R$ ,
- 2  $\sigma(e_i) = e_{i+1 \pmod{d}}$  so  $\sigma$  maps  $R_i$  isomorphically onto  $R_{i+1 \pmod{d}}$  and  $\sigma^d$  leaves each  $R_i$  invariant.
- 3 For each  $i$ ,  $R_i$  is a domain, a simple difference ring and a  $D$ -ring extension of  $e_i k$  with respect to  $\sigma^d$ .



## Remark

If  $X^d - c$  splits over  $k$ , i.e.,  $X^d - c = \prod_{0 \leq i < d} (x - \zeta_i)$  with  $\zeta_i \in k$ , we have

$$k[X]/\langle X^d - c \rangle \simeq \bigoplus_{0 \leq i < d} k[X]/\langle X - \zeta_i \rangle.$$

The isomorphism is given by

$$\pi : k[X] \rightarrow \bigoplus_{0 \leq i < d} k[X]/\langle X - \zeta_i \rangle \quad (3)$$

$$p \mapsto (p(\zeta_0), \dots, p(\zeta_{d-1})) \quad (4)$$

Let  $e_i = 1 \in k[X]/\langle X - \zeta_i \rangle$ , then

$$\pi^{-1}(e_i) = \prod_{\substack{0 \leq j < d \\ j \neq i}} \frac{x - e_j}{e_i - e_j}$$



## Example

- Take  $R = \mathbb{Q}(\zeta)(n)(e)$  with  $\sigma(n) = n + 1$  and  $\sigma(e) = \zeta e$ , where  $e$  behaves like  $(\zeta)^n$  with  $\zeta$  a 3rd root of unity.
- $((\zeta)^n)^3 = 1$ , so  $e$  satisfies the polynomial  $x^3 - 1 \in \mathbb{Q}(\zeta)(n)[x]$ .
- $x^3 - 1$  factors over  $\mathbb{Q}(\zeta)(n)$

$$\begin{aligned} R &\simeq \mathbb{Q}(\zeta)(n)[x]/\langle x^3 - 1 \rangle \\ &\simeq \mathbb{Q}(\zeta)(n)[x]/\langle x - 1 \rangle \oplus \mathbb{Q}(\zeta)(n)[x]/\langle x - \zeta \rangle \oplus \mathbb{Q}(\zeta)(n)[x]/\langle x - \zeta^2 \rangle \end{aligned}$$

- $e_0 = \frac{e^2 + e + 1}{3}$ ,  $e_1 = \frac{\zeta^2 e^2 + \zeta e + 1}{3}$  and  $e_2 = \frac{\zeta e^2 + \zeta^2 e + 1}{3}$  are idempotent in  $R$ .



# Extending algebraic extensions

## Example

- We want to extend  $R = \mathbb{Q}(n) \langle e \rangle$  from the previous example with a new indeterminate  $s$  such that  $\sigma(s) = s - \frac{e}{n+1}$ ,  
i.e.,  $s$  behaves like  $\sum_{i=1}^n \frac{(-1)^i}{i}$ .
- Writing  $s = s_0 e_0 + s_1 e_1$  and  $e = e_0 - e_1$ , we have

$$\begin{aligned}\sigma(s_0 e_0 + s_1 e_1) &= \sigma(s_0) e_1 + \sigma(s_1) e_0 \\ &= s_0 e_0 + s_1 e_1 + \frac{-e_0}{n+1} + \frac{e_1}{n+1}\end{aligned}$$

- Hence, we need to find an extension of  $\mathbb{Q}(n)$ , namely  $\mathbb{Q}(n, h)$ , where  $\sigma(h) = ah + b$  for some  $a, b \in \mathbb{Q}(n)$  and  $s_0, s_1 \in \mathbb{Q}(n, h)$  such that  $\sigma(s_0) = s_1 + \frac{1}{n+1}$  and  $\sigma(s_1) = s_0 - \frac{1}{n+1}$ .



## Example (contd.)

- Take  $\sigma(h) = -h - \frac{1}{n+1}$ ,  $s_0 = -h$  and  $s_1 = h$ .
- Then

$$\begin{aligned}\sigma(s_0) &= \sigma(-h) = h + \frac{1}{n+1} \\ \sigma(s_1) &= \sigma(h) = -h - \frac{1}{n+1}\end{aligned}$$



## Theorem

Let

- Let  $R = K[x]/\langle x^d - c \rangle$  and  $(R, \sigma, \delta)$  be a D-ring,
- where  $x^d - c$  splits over  $K[x]$  and  $\sigma(x) = \zeta x$ .
- Let  $S = R[y]$  be a D-ring extension of  $R$ , such that  $\sigma(y) = ay + b$  for  $a \in R^*$  and  $b \in R$ .

Then

$$(S, \sigma) \simeq (K[\bar{y}][x]/\langle x^d - c \rangle, \tilde{\sigma})$$

where  $\tilde{\sigma}(\bar{y}) = \zeta a(\zeta)\bar{y} + b(\zeta)$ .



# Demo

- q-identities which hold for roots of unity



Sage Days 24 at RISC,  
Linz, Austria  
July 17-22