

# Iwasawa theory – a brief introduction

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## Historical overview

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- ▶ Most of what we understand today about the behaviour of class groups or Mordell-Weil ranks in towers of number fields (and even the conjecture of Birch-Swinnerton-Dyer) has come about through these so-called Iwasawa theoretic techniques.

# Iwasawa's theorem on $\mathbf{Z}_p$ -extensions

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$F_0 \subseteq F_1 \subseteq \cdots \subseteq F_\infty = \bigcup_{n \geq 0} F_n$  with Galois groups

$\text{Gal}(F_n/F_0) \approx \mathbf{Z}/p^n\mathbf{Z}$  and profinite limit

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## ▶ Theorem (Iwasawa, 1958)

*There exist integers  $\mu \geq 0$ ,  $\lambda \geq 0$ , and  $\nu$  such that for all sufficiently large integers  $n \geq 1$ , we have  $e_n = \mu p^n + \lambda n + \nu$ .*

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▶ **Corollary**  
*Let  $e_n$  be the exponent of  $p$  dividing the class number of the cyclotomic field  $\mathbf{Q}(\zeta_{p^{n+1}})$  ( $p > 2$ ). Then, there exist integers  $\lambda \geq 0$  and  $\nu$  such that for all sufficiently large  $n$ ,  $e_n = \lambda n + \nu$ .*

## The underlying idea: Iwasawa algebras

- ▶ Suppose that  $G$  is a profinite group (such as  $\mathbf{Z}_p^d$  for  $d \geq 1$ ), and that  $\mathcal{O}$  is a complete local ring (such as  $\mathbf{Z}_p$ ).

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- ▶ Suppose that  $G \approx \mathbf{Z}_p^d$  for  $d \geq 1$ . Fix a system of topological generators  $(\gamma_i)_{i=1}^d$  of  $G$ . We then have an isomorphism

$$\varphi : \Lambda_{\mathcal{O}}(G) \longrightarrow \mathcal{O}[[T_1, \dots, T_d]], \quad \gamma_i \longmapsto T_i + 1$$

for  $\mathcal{O}[[T_1, \dots, T_d]]$  the  $\mathcal{O}$ -power series ring in  $(T_i)_{i=1}^d$ .

# Iwasawa's structure theory

## ► Theorem (Iwasawa, 1958)

Suppose that  $G \approx \mathbf{Z}_p$  and that  $\mathcal{O} = \mathbf{Z}_p$ . Let  $M$  be a finitely generated  $\Lambda = \Lambda_{\mathbf{Z}_p}(G) \approx \mathbf{Z}_p[[T]]$ -module. Then, there is a pseudo-isomorphism of  $\Lambda$ -modules

$$M \longrightarrow \Lambda^r \oplus \left( \bigoplus_{i=1}^s \Lambda/p^{m_i} \right) \oplus \left( \bigoplus_{j=1}^t \Lambda/f_j(T)^{l_j} \right)$$

for non-negative integers  $r, m_i$ , and  $l_j$ , where the  $f_j(T)$  correspond (under  $\varphi$ ) to irreducible, distinguished polynomials in  $\mathcal{O}[[T]] \approx \Lambda$ .

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► When  $r = 0$ , we define the  $\Lambda$ -characteristic power series of  $M$ :

$$\text{char}_{\Lambda}(M) = \prod_{i=1}^s p^{m_i} \prod_{j=1}^t f_j(T)^{l_j},$$

with (familiar!) invariants  $\mu = \mu_{\Lambda}(M) = \sum_{i=1}^s m_i$  and  $\lambda = \lambda_{\Lambda}(M) = \sum_{j=1}^t \deg(f_j) \cdot l_j$ .

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## General structure theory (abelian setting)

► Theorem (Bourbaki, 1965)

*Let  $G$  be a pro- $p$   $p$ -adic Lie group with no element of order  $p$ . Suppose  $G$  is abelian. Let  $M$  be a finitely generated, torsion  $\Lambda = \Lambda_{\mathcal{O}}(G)$ -module. Then, there is a pseudo-isomorphism of  $\Lambda$ -modules*

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- ▶ Theorem (Mazur-Wiles, 1984 & Wiles, 1990; cf. Rubin 1990)  
*We have an equality of principal ideals  $(\text{char}_\Lambda(Y)) \doteq (L_p)$  in  $\Lambda$ .*

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- ▶ Let  $K_\infty$  be the  $\mathbf{Z}_p^2$ -extension of  $K$ .

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  - ▶ extensions to automorphic forms on higher-rank groups
  - ▶ the role/theory of the  $L$ -values and Euler systems
  - ▶ mysterious connections to ergodic/transcendence theory
- ▶ However, many **open problems** remain in this setting:
  - ▶ Iwasawa's conjecture on  $\mu$  (as refined by Greenberg): Does the cyclotomic  $\mu$ -invariant of a totally real number field not containing any  $p$ -th roots of unity necessarily vanish?
  - ▶ Can we show main conjectures for CM abelian varieties? Or Hilbert modular forms in cyclotomic  $\mathbf{Z}_p$ -extensions of totally real fields? Or stronger ( $p$ -adic) Birch-Swinnerton-Dyer results, even just for higher-rank CM elliptic curves?

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