

# Upcoming $p$ -adic functionality in FLINT

Sebastian Pancratz

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# Overview

- ▶ Motivation
- ▶ Design decisions
- ▶ Field of  $p$ -adic numbers  $\mathbf{Q}_p$ 
  - ▶ Elements of  $\mathbf{Q}_p$
  - ▶ Addition, multiplication, inversion, square root, exponential, logarithm, Teichmüller lift
- ▶ Polynomials over  $\mathbf{Q}_p$
- ▶ Unramified extensions  $\mathbf{Q}_q$ 
  - ▶ Elements of  $\mathbf{Q}_q$
  - ▶ Addition, multiplication, inversion, Teichmüller lift, Frobenius
- ▶ Summary of timings

# Motivation

Motivation for the implementation.

- ▶ I need  $p$ -adic arithmetic for my own research code in point counting, which is largely based on FLINT.

Purpose of the talk.

- ▶ Present the already implemented functionality;
- ▶ Offer comparisons between Sage, Magma, and FLINT;
- ▶ Ask for feedback.

## Design decisions

Comparison with Laurent series over  $\mathbf{F}_p$ .

A Laurent series consists of the data  $(m, n, (a_m, \dots, a_n))$  giving

$$\sum_{i=m}^n a_i X^i$$

Given  $f(X)$  and  $g(X)$ , we can compute their sum modulo  $X^N$  as

$$f(X) + g(X) = \sum_{i=\min\{m_f, m_g\}}^{\min\{\max\{n_f, n_g\}, N-1\}} (a_i + b_i) X^i$$

As coefficients are readily available, it is reasonable for operations to treat inputs as exact and require only the output precision  $N$ .

# Design decisions

Decision.

- ▶ Each  $p$ -adic operation treats the input as exact data and requires the desired output precision as a separate argument.

Rationale.

- ▶ A number is *just* a number.
- ▶ The intrinsic difficulty in  $p$ -adic arithmetic stems from the precision loss, which depends on the particular operation.
- ▶ Note that it would be straightforward to implement various precision models on top of this.

## Elements of $\mathbb{Q}_p$

Consider two numbers,

$$x = 3 + 2 \times 5 + 1 \times 5^2 + 4 \times 5^3$$

$$y = 1 + 1 \times 5 + 4 \times 5^2 + 2 \times 5^3 + 3 \times 5^4$$

We can compute their sum modulo  $5^2$ ,

$$x + y = (3 + 1) + (2 + 1)5$$

without looking at higher order digits. But this is *not* what is happening in practical implementations. The  $p$ -adic digits are not readily available, and for  $p \ll 2^{64}$  this is certainly not desirable anyway.

## Elements of $\mathbb{Q}_p$

Instead, an element  $x \neq 0$  is typically stored as  $x = p^v u$  with  $v = \text{ord}_p(x) \in \mathbf{Z}$  and  $u \in \mathbf{Z}$  with  $p \nmid u$ . In FLINT, we choose

```
typedef struct {
    fmpz u;
    long v;
} padic_struct;
```

### Remark

- ▶ Improved maintainability by having *one* data type; no special case depending on the size of  $p$  or  $p^N$ ;
- ▶ Eventually,  $p = 2$  should have a special case.
- ▶ One *could* consider a different implementation performing basic arithmetic to base  $p^k$  with  $k$  s.t. such that  $p^k$  fits in a word. This would allow replacing mod  $p^N$  operations by mod  $p^k$  operations (with a precomputed word-sized inverse) in many algorithms.

# Benchmarks for $\mathbb{Q}_p$

We present some timings for arithmetic in  $\mathbb{Q}_p \bmod p^N$  where  $p = 17$ ,  $N = 2^i$ ,  $i = 0, \dots, 10$ , comparing the three systems Magma (V2.17-13), Sage (4.8 incl. #4821) and FLINT (2.3) on a machine with Intel Xeon CPUs running at 2.93GHz.

To avoid worrying about taking the same random sequences of elements, we instead fix elements  $a = 3^{3N}$ ,  $b = 5^{2N}$ ,  $c = 17^2 b$ , and  $d = 1 - c$  modulo  $p^N$ .

We consider the following operations:

- ▶ Addition
- ▶ Multiplication
- ▶ Inversion
- ▶ Square root
- ▶ Teichmüller lift
- ▶ Exponential
- ▶ Logarithm



# Hensel lifting

## Theorem

Let  $g \in \mathbf{Z}_q[X]$  and assume that  $x_0 \in \mathbf{Z}_q$  satisfies

$$\text{ord}_p(g(x_0)) = m + n, \quad \text{ord}_p(g'(x_0)) = m,$$

for some  $0 \leq m < n$ . There exists a unique root  $x \in \mathbf{Z}_q$  of  $g$  satisfying  $x \equiv x_0$  modulo  $p^n$ .

## Algorithm

- ▶ Compute sequence  $e_k = N, e_{k-1} = \lceil e_k/2 \rceil, \dots, e_0$  until  $1 \leq e_0 \leq n$ .
- ▶ For  $i = 0, \dots, k - 1$ , compute

$$x_{i+1} = x_i - \frac{g(x_i)}{g'(x_i)} \pmod{p^{e_{i+1}}}.$$

# Hensel lifting

## Remark

In the above formulation, Hensel lifting requires a nested lifting process to compute the  $p$ -adic inverse of  $g'(x_i)$  in each step. This can be replaced by a single parallel Hensel lift:

- ▶ Compute sequence  $e_k = N, e_{k-1} = \lceil e_k/2 \rceil, \dots, e_0$  until  $1 \leq e_0 \leq n$ .
- ▶ Set  $y_0 = g'(x_0)^{-1} \pmod p$ .
- ▶ For  $i = 0, \dots, k-1$ , compute

$$\begin{aligned}x_{i+1} &= x_i - g(x_i)y_i && \pmod{p^{e_{i+1}}}, \\y_{i+1} &= y_i(2 - y_i g'(x_{i+1})) && \pmod{p^{e_{i+1}}}.\end{aligned}$$

# Addition

## Signature

```
void padic_add(z, x, y, ctx)
```

## Contract

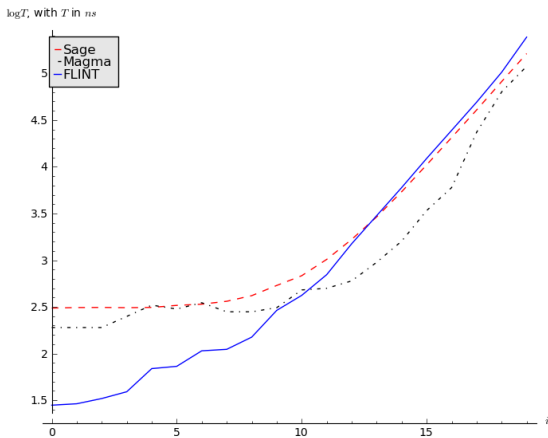
Assumes that  $x$  and  $y$  are reduced modulo  $p^N$  and returns  $z$  in reduced form, too.

## Algorithm

Avoids expensive modulo operation, replacing this by one comparison and at most one subtraction.

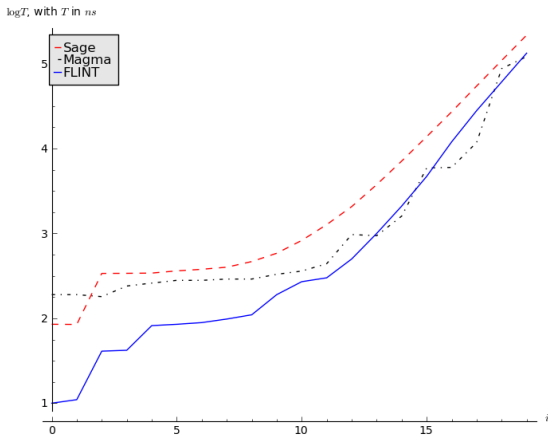
# Addition (equal valuation)

Computes  $a + b \bmod p^N$ .



# Addition (distinct valuation)

Computes  $a + c \bmod p^N$ .



# Multiplication

## Signature

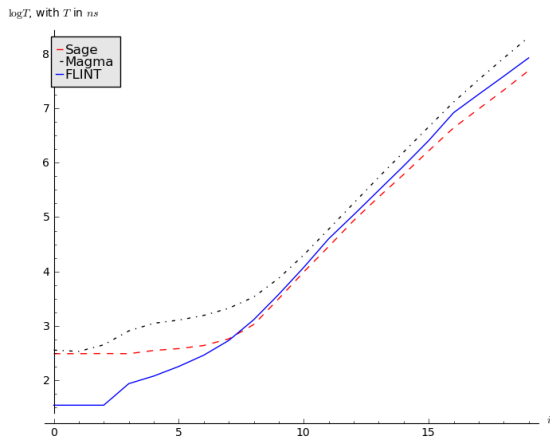
```
void padic_mul(z, x, y, ctx)
```

## Contract

Makes no assumptions on  $x$  and  $y$ , returns  $z$  reduced modulo  $p^N$ .

# Multiplication

Computes  $ab \bmod p^N$ .



# Inversion

## Signature

```
void padic_inv(z, x, ctx)
```

## Contract

Makes no assumptions on  $x \neq 0$ , returns  $z$  reduced modulo  $p^N$ .

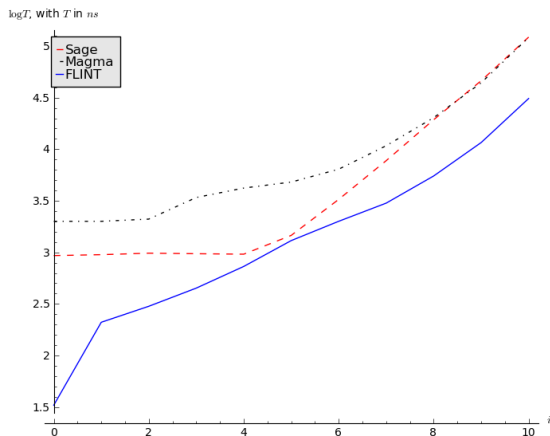
## Algorithm

Hensel lifting on  $g(X) = xX - 1$ , starting from an inverse in  $\mathbf{F}_p$  and using the update formula  $z' = z + z(1 - xz)$ .



# Inversion

Computes  $a^{-1} \bmod p^N$  to the required precision  $N$ .



# Square root

## Signature

```
int padic_sqrt(z, x, ctx)
```

## Contract

Returns whether  $x$  has a square root, and if this is the case sets  $z$  to a square root modulo  $p^N$ .

Recall that non-zero  $x = p^v u$  has a square root if and only if  $v$  is even and  $u$  has a square root modulo 8 or  $p$  where  $p = 2$  or  $p > 2$ , respectively.

## Algorithm

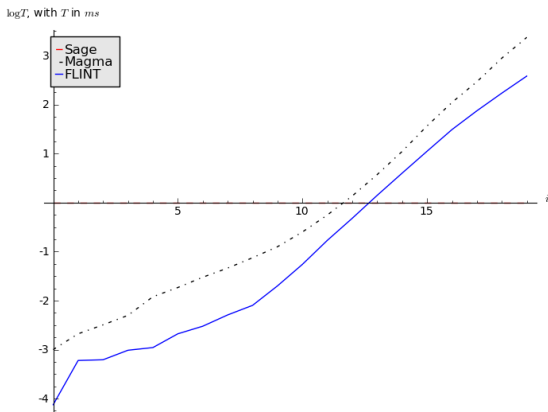
- ▶ Compute  $x^{-1/2} \bmod p^N$  using Hensel lifting on  $g(X) = x^2 X - 1$ , starting modulo  $p$  and using the division-free update formula

$$z' = z - z(xz^2 - 1)/2.$$

- ▶ Set  $z = xx^{-1/2} \bmod p^N$ .

# Square root

Computes a square root of  $a$  to the required precision  $N$ .



# Teichmüller lift

## Signature

```
void padic_teichmuller(z, x, ctx)
```

## Contract

Assumes only that  $\text{ord}_p(x) = 0$ , returns the unique  $z$  such that  $z \equiv x \pmod{p}$  and  $z^p - z = 0$ , reduced modulo  $p^N$ .

## Algorithm

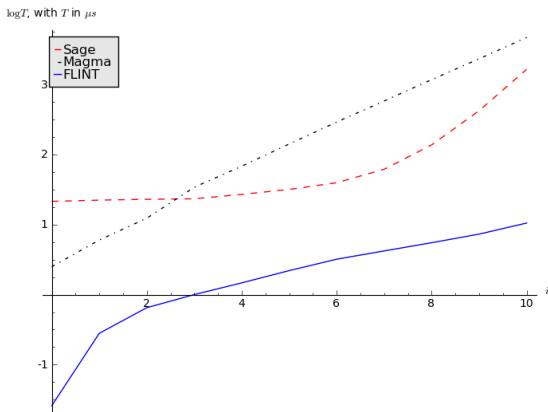
Hensel lifting on  $g(X) = X^p - X$ , starting from  $z_0 = x \pmod{p}$ .

## Improvements

- ▶ Hensel lifting without inverses.
- ▶ At the first step, we want  $z_0 = x \pmod{p}$  and  $y_0 = ((p-1)x^{p-2})^{-1} \pmod{p}$ , so  $y_0 = p - z_0$  without inversion.

# Teichmüller lift

Computes the Teichmüller lift of  $a \bmod p^N$  to the required precision  $N$ .



# Exponential

## Signature

```
int padic_exp(z, x, ctx)
```

## Contract

Returns whether  $\exp_p(x)$  converges, that is,  $\text{ord}_p(x) \geq 2$  or  $\text{ord}_p(x) \geq 1$  as  $p = 2$  or  $p > 2$ , respectively, and computes  $z$  reduced modulo  $p^N$ .

## Algorithm

Evaluates the truncated series

$$\exp_p(x) = \sum_{i=0}^{m-1} \frac{x^i}{i!}$$

over  $\mathbf{Z}_p$  by multiplying through by  $(m-1)!$ , hence requiring only one  $p$ -adic inversion. We can choose  $m = \lceil ((p-1)N - 1) / ((p-1)v - 1) \rceil$ .

# Exponential

## Improvements

- ▶ Rectangular splitting algorithm, starting from the expression

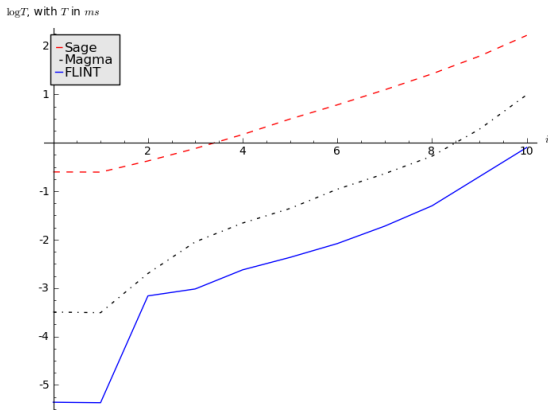
$$\exp_p(x) = \sum_{j=0}^{\lceil m/B \rceil - 1} \left( \sum_{i=0}^{B-1} \frac{x^i}{(i + Bj)!} \right) x^{Bj}$$

where  $B = \lfloor \sqrt{m} \rfloor$ .

- ▶ Asymptotic improvements possible, e.g. using a binary splitting algorithm, which recursively considers half the coefficients of the series.

# Exponential

Computes the exponential of  $c$  to the required precision  $N$ .





# Logarithm

## Signature

```
int padic_log(z, x, ctx)
```

## Contract

Assumes that  $\log_p(x)$  converges, that is,  $\text{ord}_p(x - 1) \geq 2$  or  $\text{ord}_p(x - 1) \geq 1$  as  $p = 2$  or  $p > 2$ , respectively, and returns  $z$  reduced modulo  $p^N$ .

## Algorithm

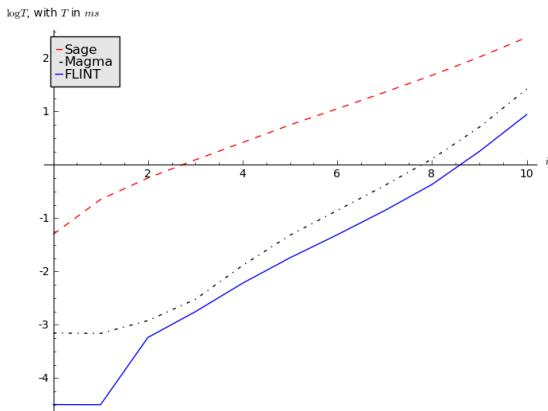
Evaluates the truncated series

$$\log_p(x) = \sum_{i=1}^m (-1)^{i-1} \frac{(x-1)^i}{i}$$

over  $\mathbf{Z}_p$  by inverting  $i$  at each step using a precomputed Hensel lifting structure.

# Logarithm

Computes the logarithm of  $d = 1 - c$  to the required precision  $N$ .



## Polynomials over $\mathbf{Q}_p$

We represent a non-zero polynomial  $f(X) \in \mathbf{Q}_p[X]$  as

$$f(X) = p^v (a_0 + a_1 X + \cdots + a_n X^n)$$

where  $a_0, \dots, a_n \in \mathbf{Z}$  and, for at least one  $i$ ,  $p$  does not divide  $a_i$ .

### Remark

- ▶ Allows for transfer of many problems over  $\mathbf{Q}_p$  to  $\mathbf{Z}/(p^N)$ , where fast implementations are available.
- ▶ Similar to the approach chosen over  $\mathbf{Q}$  in FLINT (and Sage), see trac ticket #4000.

# Functions for $\mathbb{Q}_p[X]$

- ▶ Conversions to polynomials over  $\mathbb{Z}$  and  $\mathbb{Q}$
- ▶ Coefficient manipulation
- ▶ Addition, subtraction, negation
- ▶ Scalar multiplication
- ▶ Multiplication
- ▶ Powers
- ▶ Series inversion
- ▶ Derivative
- ▶ Evaluation
- ▶ Composition

## Unramified extensions $\mathbf{Q}_q$

We represent an unramified extension of  $\mathbf{Q}_p$  as

$$\mathbf{Q}_q \cong \mathbf{Q}_p[X]/(f(X))$$

where  $f(X) \bmod p$  is separable, storing  $f(X)$  in a data structure for sparse polynomials.

This allows for the reduction of a degree  $n$  polynomial modulo  $f(X)$  in linear time  $\mathcal{O}(n)$ .

# Benchmarks for $\mathbb{Q}_q$

We present some timings for arithmetic in  $\mathbb{Q}_q \bmod p^N$  where  $q = 5^{251}$  and  $N = 2^i$ ,  $i = 0, \dots, 10$ , comparing the three systems Magma (V2.17-13), Sage (4.8 incl. #4821) and FLINT (2.3) on a machine with Intel Xeon CPUs running at 2.93GHz.

To avoid worrying about taking the same random sequences of elements, we instead fix elements  $a = (X + 1)^N$ ,  $b = (X^2 + 2)^N$ , and  $c = 5^2 b$  modulo  $p^N$ .

We consider the following operations:

- ▶ Addition
- ▶ Multiplication
- ▶ Inversion
- ▶ Teichmüller lift
- ▶ Frobenius

# Addition

## Signature

```
void qadic_add(z, x, y, ctx)
```

## Contract

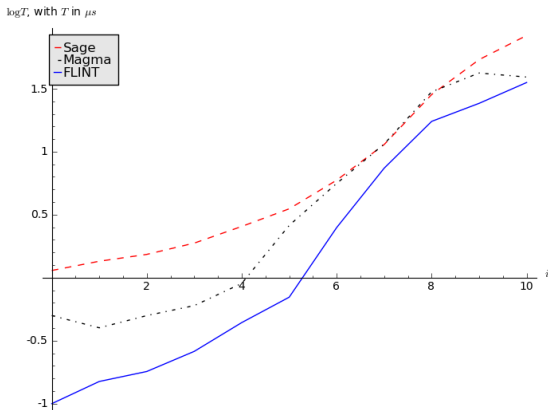
Sets  $z = x + y \bmod p^N$ , assuming both  $x$  and  $y$  are reduced modulo  $p^N$ .

## Algorithm

Avoids expensive modulo operation on the coefficients, replacing this by one comparison and at most one subtraction per coefficient.

# Addition (equal valuation)

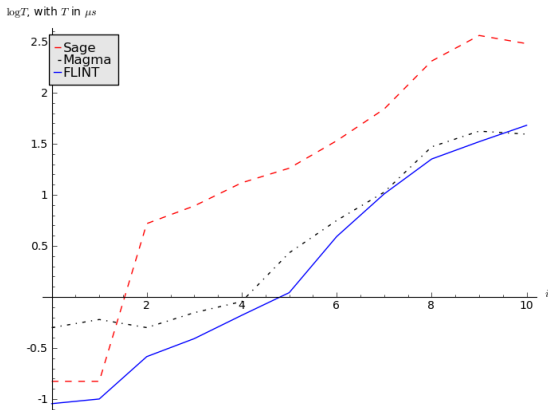
Computes the sum  $a + b$  to the required precision  $N$ .





# Addition (distinct valuation)

Computes the sum  $a + b$  to the required precision  $N$ .



# Multiplication

## Signature

```
void qadic_mul(z, x, y, ctx)
```

## Contract

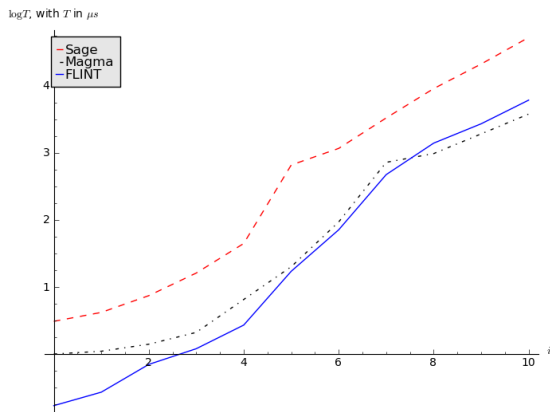
Sets  $z = xy \bmod p^N$ , without assuming that  $x, y$  are reduced modulo  $p^N$ .

## Algorithm

First compute the product of the polynomials, then reduce the result modulo  $p^N$  and  $f(X)$ .

# Multiplication

Computes the product  $ab$  to the required precision  $N$ .



# Inversion

## Signature

```
void qadic_inv(z, x, ctx)
```

## Contract

Sets  $z$  to the inverse of  $x \neq 0$  modulo  $p^N$ .

## Algorithm

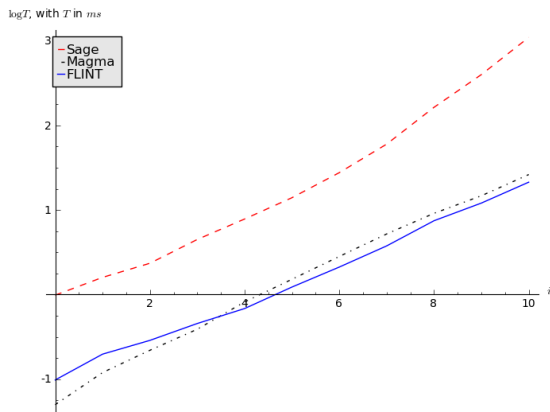
Hensel lifting on  $g(X) = xX + 1$ , using the update formula  $z' = z + z(1 - xz)$ ; the starting point  $z_0$  is the inverse of  $x$  in  $\mathbf{F}_p[X]/(f(X))$  computed by a version of Euclid's extended algorithm only updating one cofactor<sup>1</sup>.

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<sup>1</sup>Using Euclid's extended algorithm to compute  $d, s, t$  such that  $d = \gcd(a, b) = sa + tb$ , one improvement is to only update  $s$  during the procedure and then construct  $t = (d - sa)/b$ . Here, we can omit the last step as we do not need the cofactor of  $f(X)$ .

# Inversion

Computes the inverse of  $a$  to the required precision  $N$ .



# Teichmüller lift

## Signature

```
void qadic_teichmuller(z, x, ctx)
```

## Contract

Assumes only that  $\text{ord}_p(x) = 0$ , returns the unique  $q$  such that  $z^q - z = 0$  reduced modulo  $p^N$ .

## Algorithm

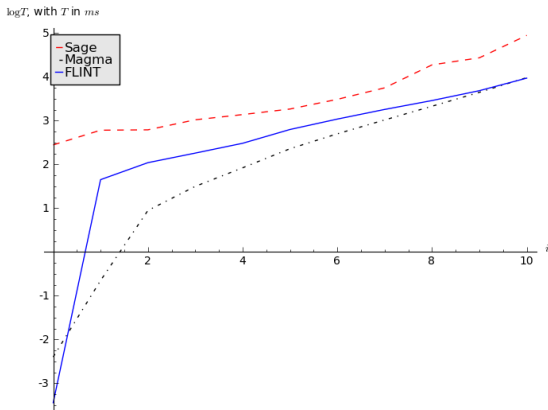
Hensel lifting on  $g(X) = X^q - X$ , starting from  $z_0 = x \bmod p$ .

## Improvements

Observe that  $g'(z_i) = qz_i^{q-1} - 1$  and  $z_i^{q-1}$  is close to 1 so  $g'(z_i)$  is close to  $q - 1$ . Thus, we only need to compute an inverse of  $q - 1$ , which is defined over  $\mathbf{Q}_p$ .

# Teichmüller lift

Computes the Teichmüller lift of  $a$  to the required precision  $N$ .



# Frobenius

## Signature

```
void qadic_frobenius(z, x, k, ctx)
```

## Contract

Sets  $z$  to  $\Sigma^k x$  modulo  $p^N$ , where  $\Sigma \in \text{Gal}(\mathbf{Q}_q/\mathbf{Q}_p) \cong \text{Gal}(\mathbf{F}_q/\mathbf{F}_p)$  is the image of  $\sigma: \mathbf{F}_q \rightarrow \mathbf{F}_q, x \mapsto x^p$ .

## Algorithm

- ▶ Write  $\mathbf{Q}_q \cong \mathbf{Q}_p[X]/(f(X))$  and  $x = \sum_{i=0}^{d-1} a_i X^i$ .
- ▶ Compute  $\Sigma^k X$  using Hensel lifting on  $f$ , starting from  $z_0 = X^{p^k}$  in  $\mathbf{F}_p[X]/(f(X))$ .
- ▶ Compute  $\Sigma^k x = \sum_{i=0}^{d-1} a_i (\Sigma^k X)^i$ , which is a polynomial composition modulo  $p^N$  and  $f(X)$ .



# Frobenius

## Improvements

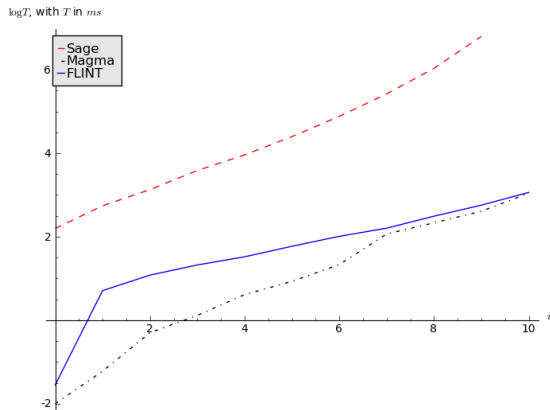
- ▶ In a first approach, might use Horner's method to carry out the composition, which uses about  $d$  multiplications in  $\mathbf{Q}_q$
- ▶ Instead, use a rectangular splitting method, starting from the expression

$$x = \sum_{j=0}^{\lceil d/B \rceil - 1} \left( \sum_{i=0}^{B-1} a_{i+Bj} X^i \right) X^{Bj}$$

where  $B = \lfloor \sqrt{d} \rfloor$ , precomputing  $\Sigma^k(X)^i$  for  $i = 0, \dots, B$ . This requires about  $2\sqrt{d}$  multiplications in  $\mathbf{Q}_q$  and extra space for about  $d^{3/2}$  elements of  $\mathbf{Z}/(p^N)$ .

# Frobenius

Computes the image of  $a$  under the Frobenius homomorphism to the required precision  $N$ .



## Missing functionality for $\mathbb{Q}_q$

- ▶ Exponential
- ▶ Logarithm
- ▶ Square root
- ▶ Norm
- ▶ Trace

# Summary of timings

	Operation	$T_{\text{Sage}}/T_{\text{FLINT}}$	$T_{\text{Magma}}/T_{\text{FLINT}}$
$\mathbf{Q}_p$	$a + b$	0.67	0.49
	$a + c$	1.63	0.91
	$ab$	0.58	2.41
	$a^{-1}$	3.94	3.9
	$\sqrt{a}$		6.17
	Teichmüller( $a$ )	156.19	4670
	$\exp(c)$	206.25	12.25
	$\log(d)$	27.95	3.01
$\mathbf{Q}_q$	$a + b$	2.36	1.1
	$a + c$	6.3	0.82
	$ab$	8.59	0.62
	$a^{-1}$	51.47	1.23
	Teichmüller( $a$ )	9.48	1.03
	$\Sigma(a)$	11000	0.72

# Codebase

- ▶ FLINT,  
<http://www.flintlib.org>
- ▶ Personal development branch for  $p$ -adic arithmetic,  
<https://github.com/SPancratz/flint2/tree/padic>
- ▶ Lines of source code,

	<u>padic</u>	<u>padic_poly</u>	<u>padic_poly</u>	<u>qadic</u>
Base	1987	1460	683	920
Test	2321	1380	903	1131